



Technion - IIT  
Dept. of Electrical Engineering  
Signal and Image Processing lab

# Transrating of Coded Video Signals via Optimized Requantization

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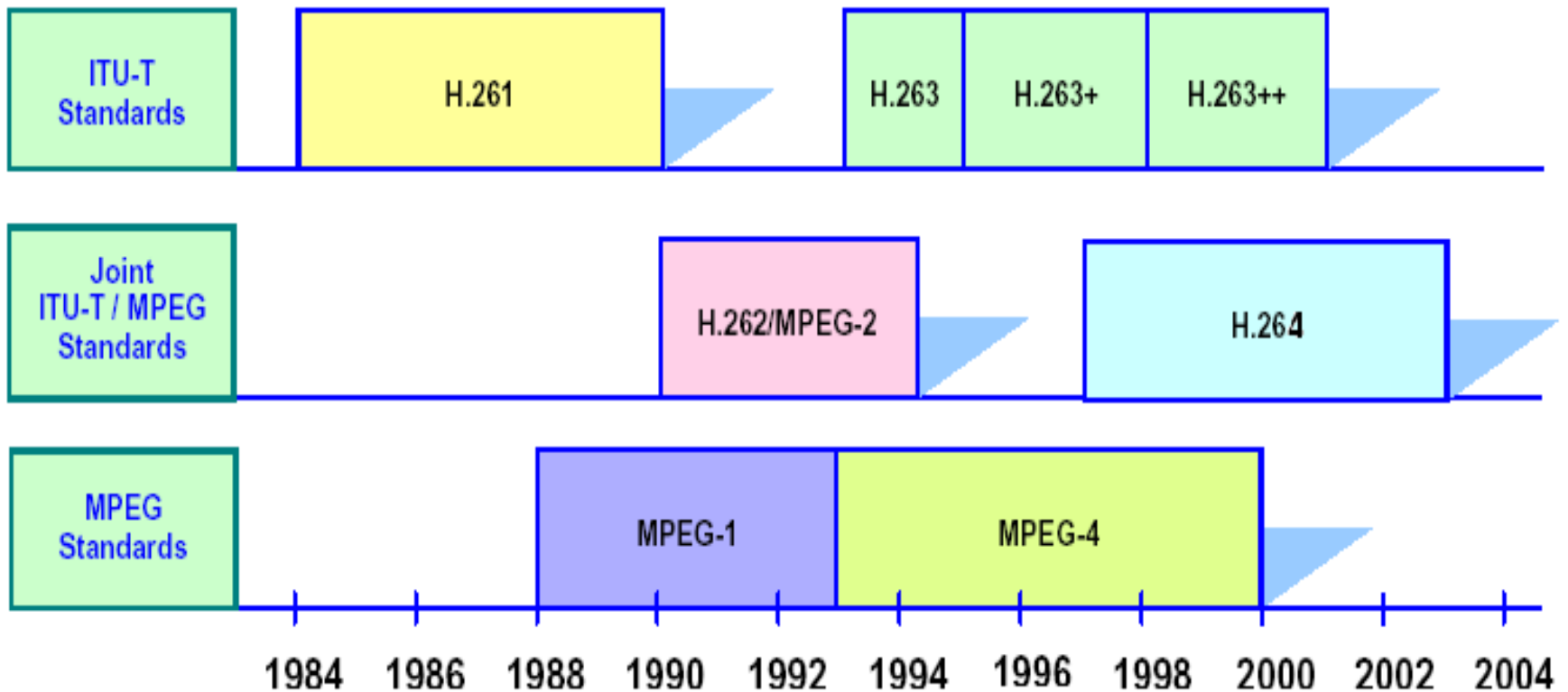
# Outline

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- Video encoding and transrating review
- Requantization methods:
  - “Simple” requantization
  - MAP/MSE requantization
  - Lagrangian optimization (+MAP/MSE)
  - Proposed Extended Lagrangian optimization (+MSE)
- HVS-based modification of requantization methods
  - Frame segmentation and tracking
  - Cost function modification
- Summary and future directions



# Video Coding Standards



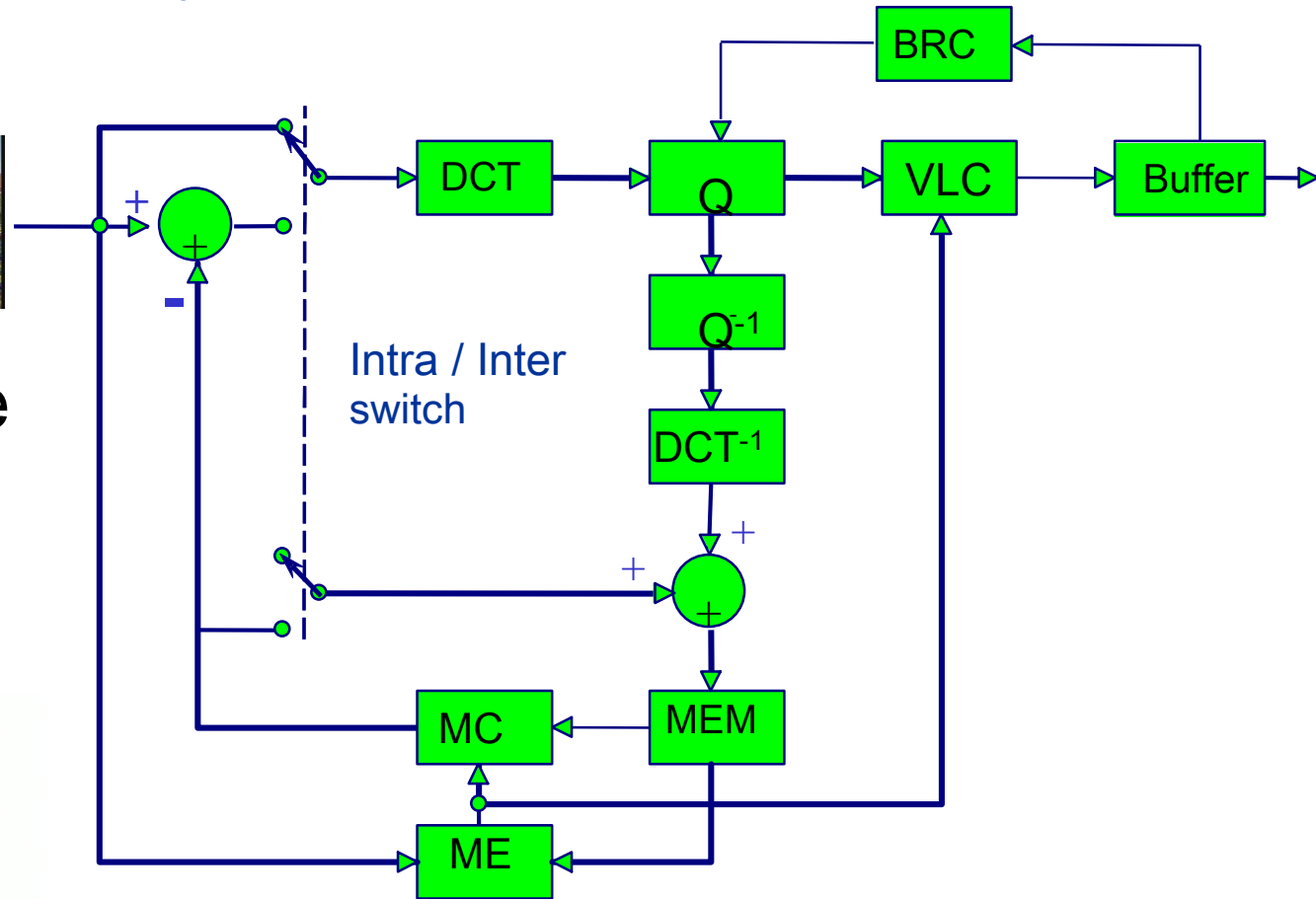
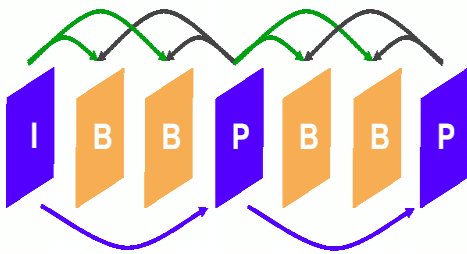


# Generic Hybrid Video Encoder



Image sequence

## MPEG GOP Structure



BRC - Bit Rate Control  
MC - Motion Compensation  
ME - Motion Estimation  
MEM - Frame store

DCT - Discrete Cosine Transform  
Q - Quantization  
VLC - Variable Length Coding



# Transcoding

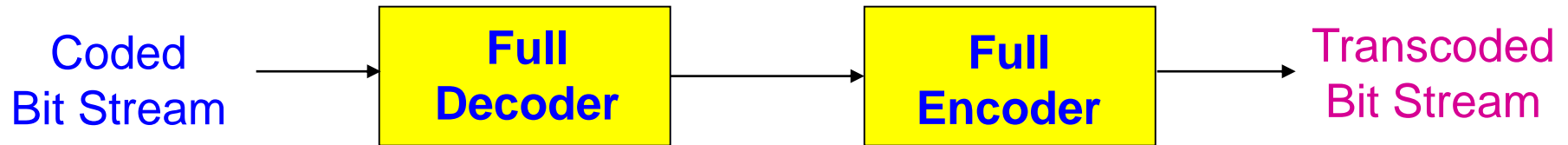
Converting a coded bit stream to another bitstream to match a destination profile in terms of:

- Media Formats {e.g., MPEG-2 → H.264 }
- Resolutions (Spatial, Temporal)
- Bit Rates (Transrating)
- Color depths/formats { e.g., 4:4:4 → 4:2:0 }
- ⋮

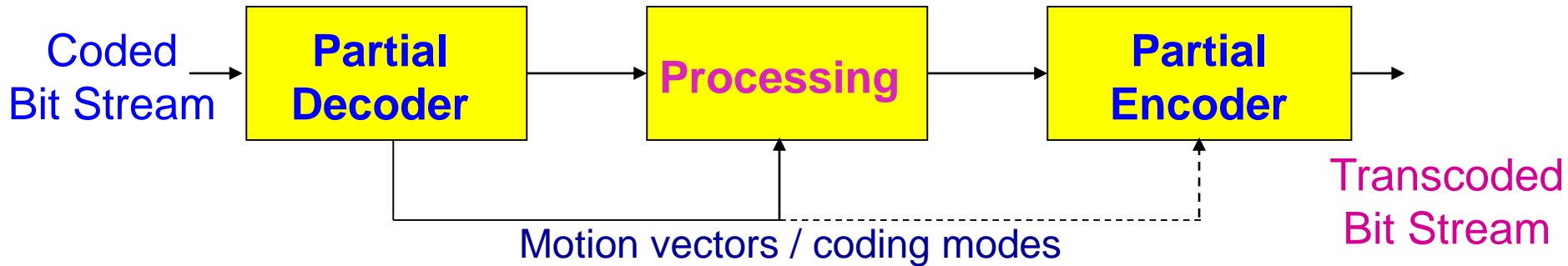




# Transcoder Architectures



Cascading Decoder and Encoder (Re-encoding)



Complexity-Reduced Transcoding  
(Compressed Domain)



# Transrating Methods

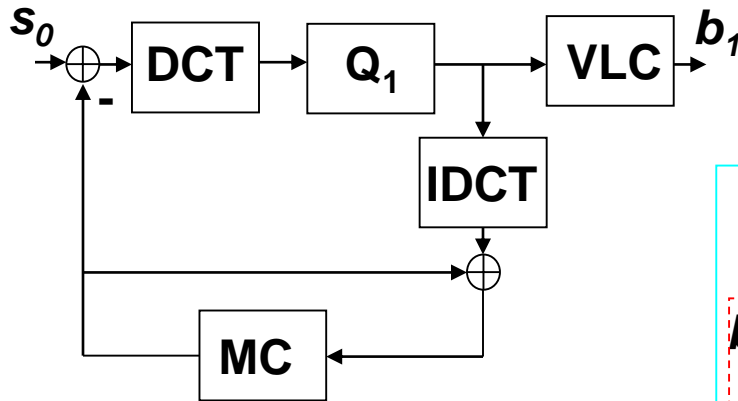
(Bit-Rate Reduction - BRR)

- Frame dropping (B, P)
- Color suppression
- Discarding high-frequency DCT coefficients
- Reducing spatial resolution (size reduction)
- DCT coefficients Requantization



# Open and Closed Loop Schemes

(Keesman, 1996)

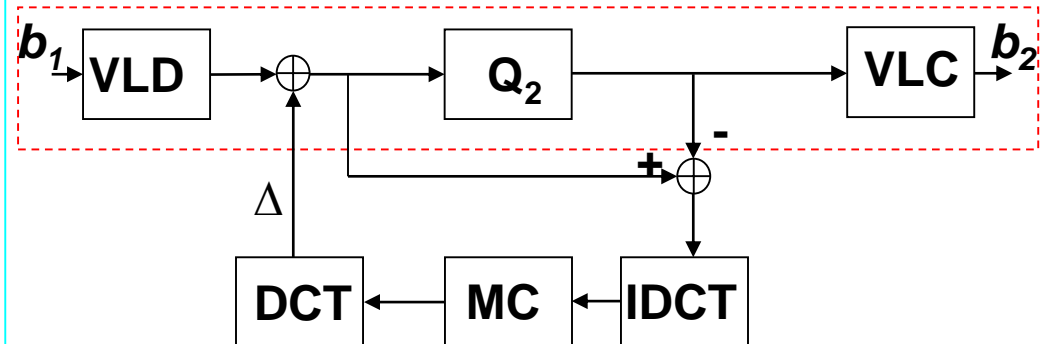


VLC = Variable Length Coding  
DCT = Discrete Cosine Transform  
IDCT = Inverse DCT  
MC = Motion Compensation  
 $Q_1$  = first generation quantizer

Encoder

Transrater

Open-Loop



Drift Compensation

VLD = Variable Length Decoding  
 $Q_2$  = second generation quantizer  
 $\Delta$  = correction signal





# “Simple” Transrating -1

- **GOP-level BRC:**  $T_{Frame} = B_{in}^{Frame} \frac{R_{out}}{R_{in}}$ 
  - No buffer considerations

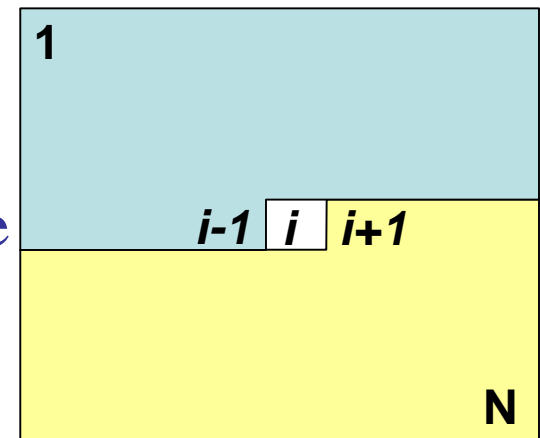
- MB complexity:  $X_i \propto Q_i \cdot B_i$   
 $B_i$  –  $i$ -th MB bit-rate

- **Frame-level BRC:**
  - $Q_2^1 = \frac{1}{T_{Frame}} \sum_{i=1}^N X_i$
  - Rate-adaptive quantization:

- Increase  $Q_2$  if  $a < b$
- Decrease  $Q_2$  if  $a > b$

- One-path algorithm
- Not optimal in any sense

**MB processing order:**

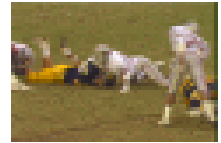
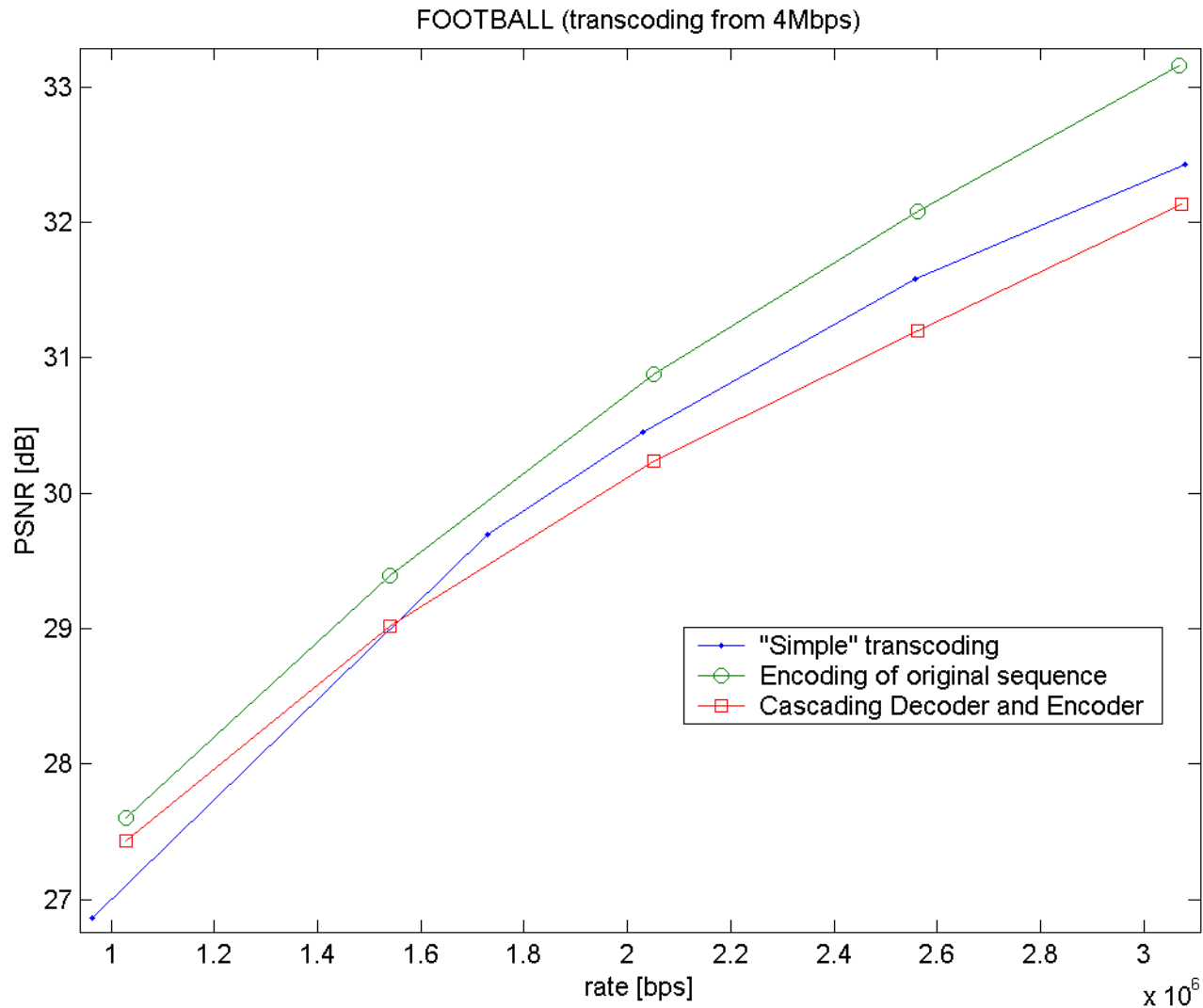


$$a = T_{Frame} - \sum_{j=1}^{i-1} B_j^{out}$$

$$b = \frac{B_{i-1}^{out}}{X_{i-1}} \sum_{j=i}^N X_j$$

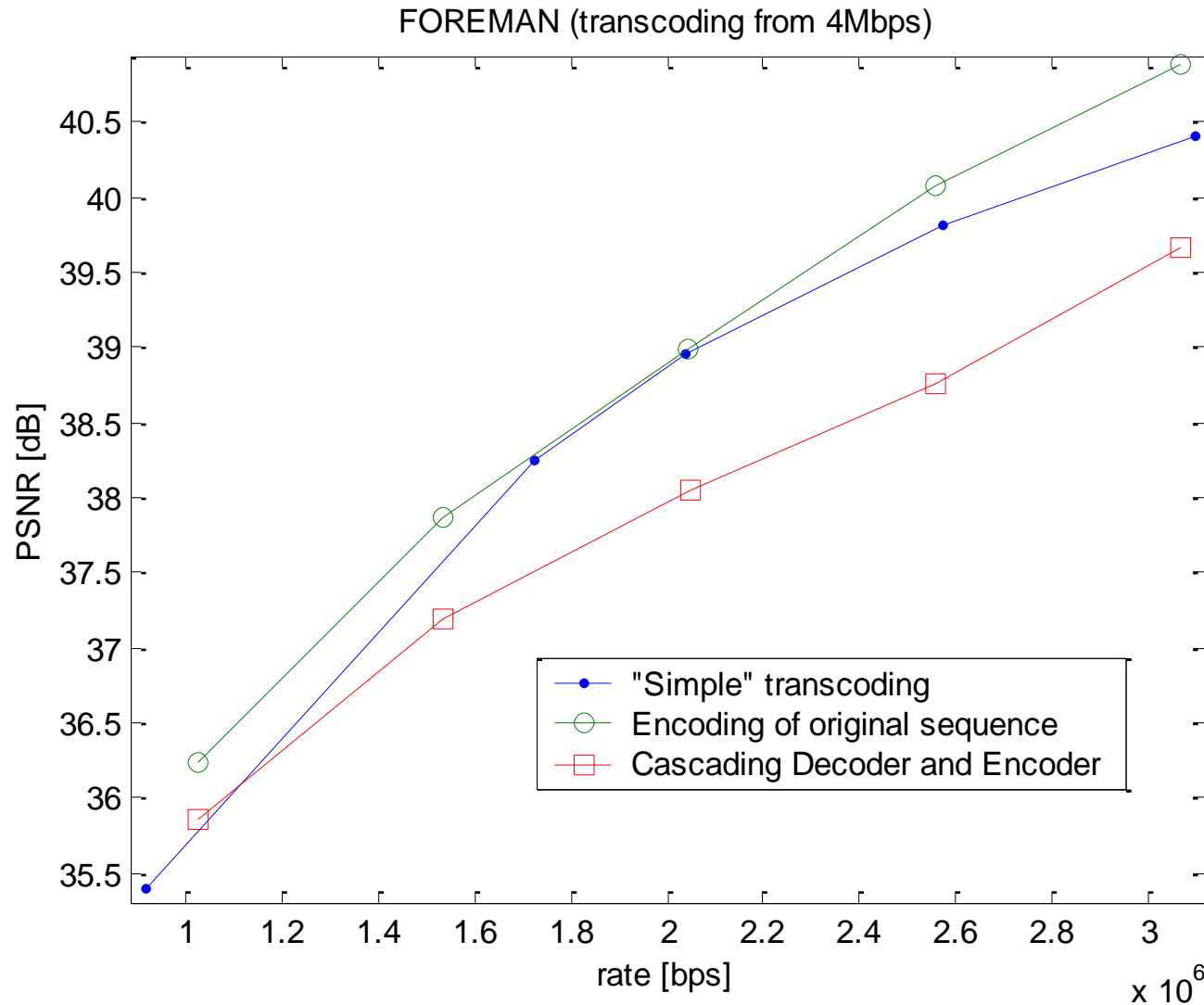


# "Simple" Transrating -2



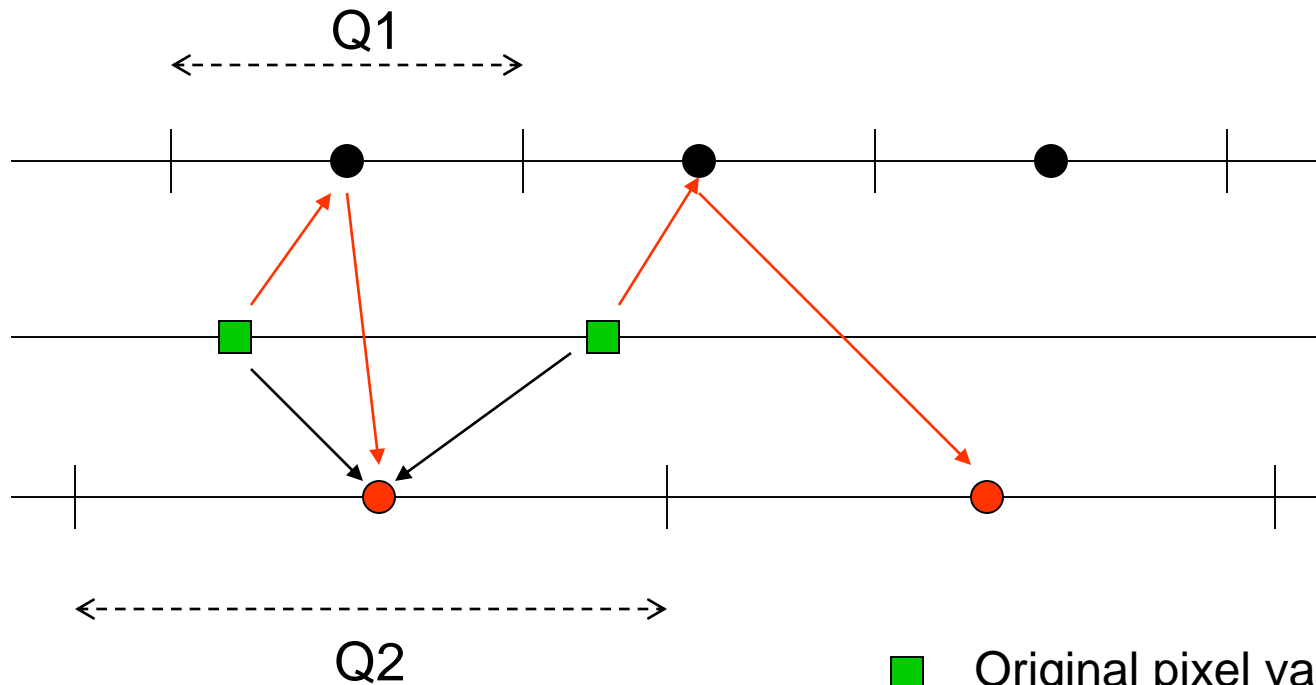


# "Simple" Transrating -3





# Requantization Error -1

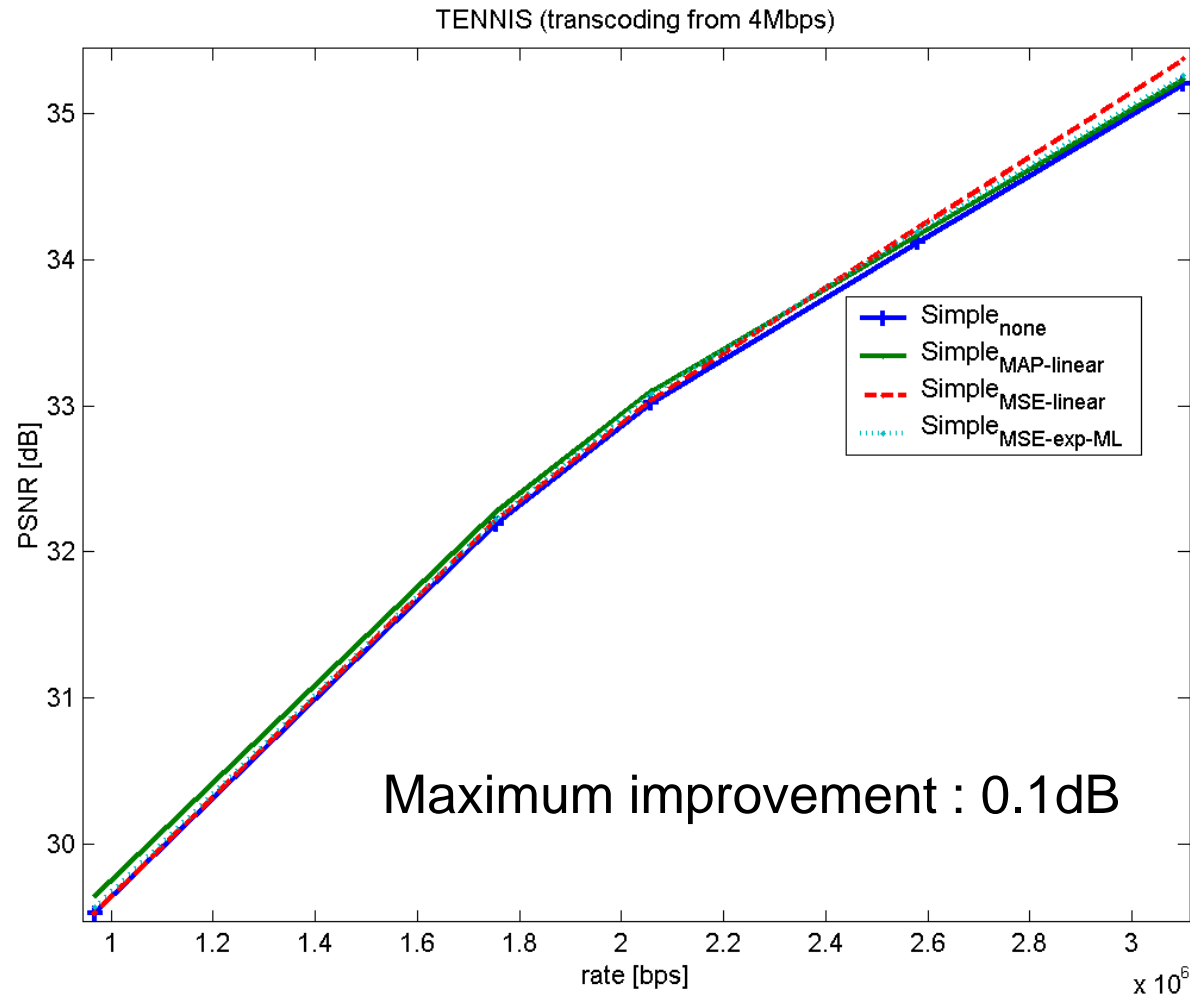


- MAP and MSE need the estimation of probability density function of original DCT coefficients from quantized values received

- Original pixel value
- Quantized values with Q1
- Quantized values with Q2



# Requantization Error -2





# Requantization by Lagrangian Optimization -1

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(Assunção and Ghanbari, 1997)

Constrained Minimization problem:

Min  $D$ , under the constraint  $R \leq R_T$  ;

$$D = \sum_{k=1}^N d_k(q_k) ; \quad R = \sum_{k=1}^N r_k(q_k)$$

$N$  – number of MBs in picture;       $d_k$  – distortion introduced into k-th MB  
 $q_k$  – quantization step for k-th MB;       $r_k$  – rate of k-th MB after transcoding

Rate and Distortion are merged using Lagrangian parameter,  $\lambda \geq 0$ , :

$$J = D + \lambda R$$



# Requantization by Lagrangian Optimization -2

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(Assunção and Ghanbari, 1997)

Lagrangian cost function becomes sum of independent MB level calculated parts:

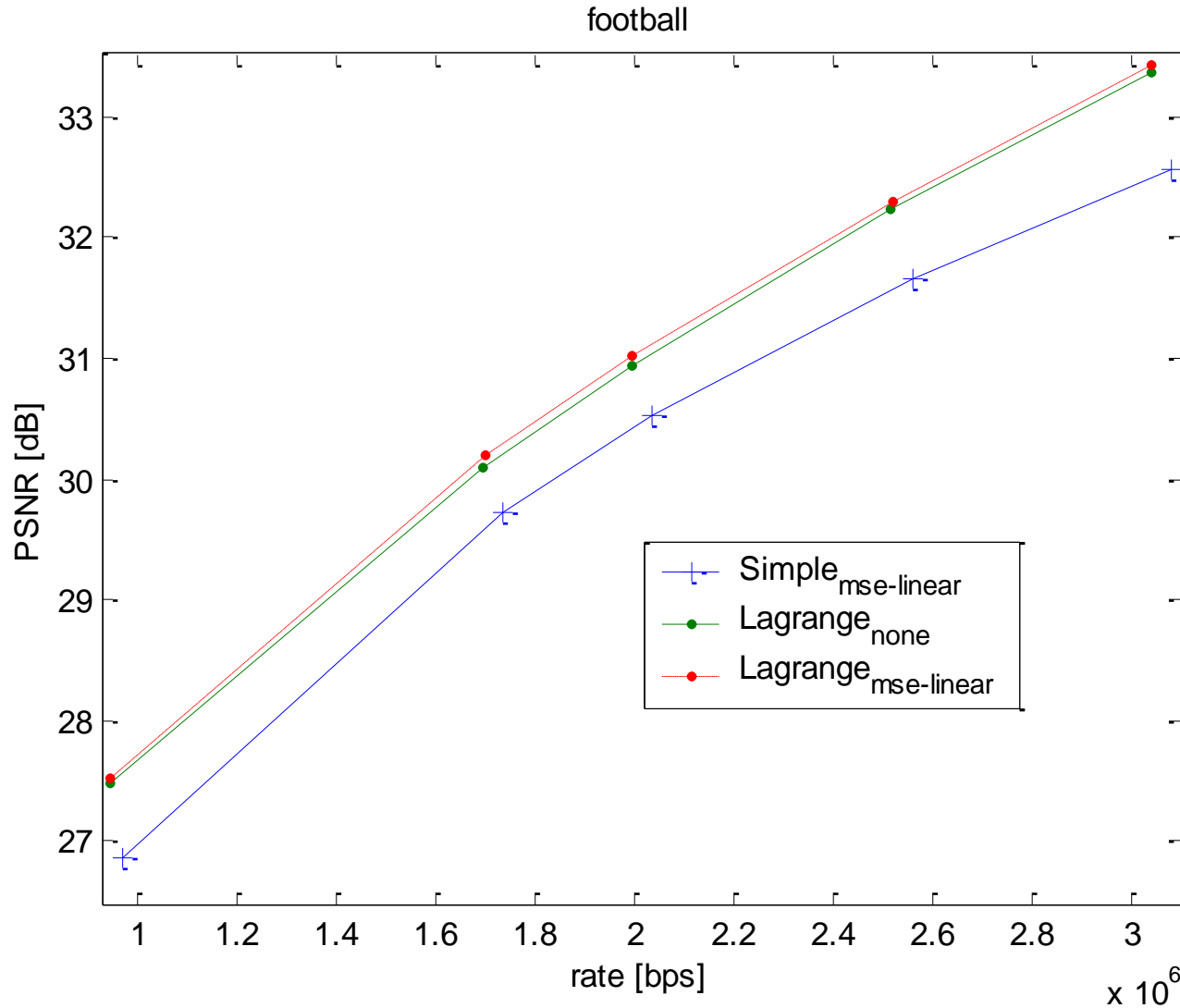
$$J_k(\lambda) = \min_{q_k} \{d_k(q_k) + \lambda r_k(q_k)\}$$

Lagrangian parameter,  $\lambda \geq 0$ , is iteratively updated to achieve desired bit-rate  $R_T$ :

1. Calculate all  $J_k(\lambda)$  for all  $k$
  2. Compute total rate  $R_{\text{total}} = \sum r_k(q_k)$  and check:
    - If  $R_{\text{total}} = R_T$ , transmit transrated frame and go to next frame
    - If  $R_{\text{total}} < R_T \Rightarrow$  decrease  $\lambda$  ; If  $R_{\text{total}} > R_T \Rightarrow$  increase  $\lambda$
  3. Goto 1 with new  $\lambda$
- Recent result (Leventer and Porat – ICIP-03): Optimal quantizer steps are obtained at values close to specific multiples of the input quant. step.



# Requantization by Lagrangian Optimization -3

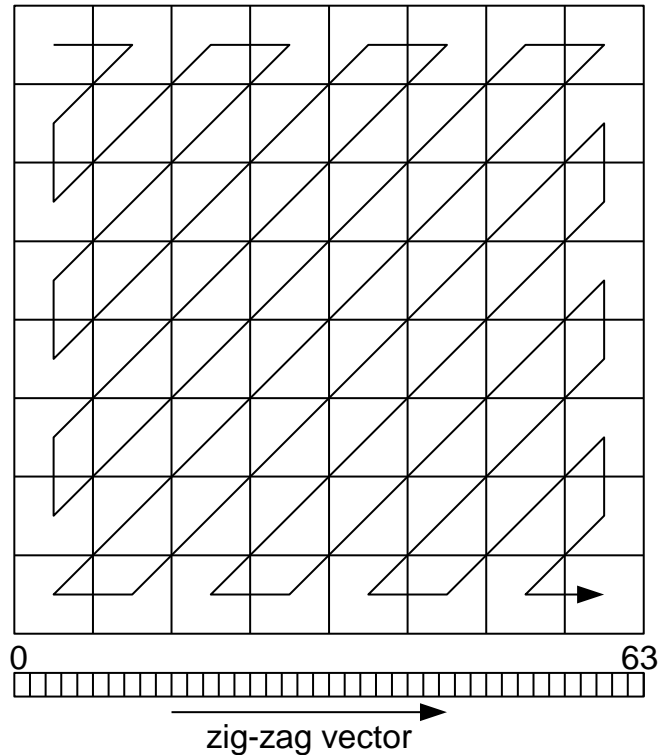




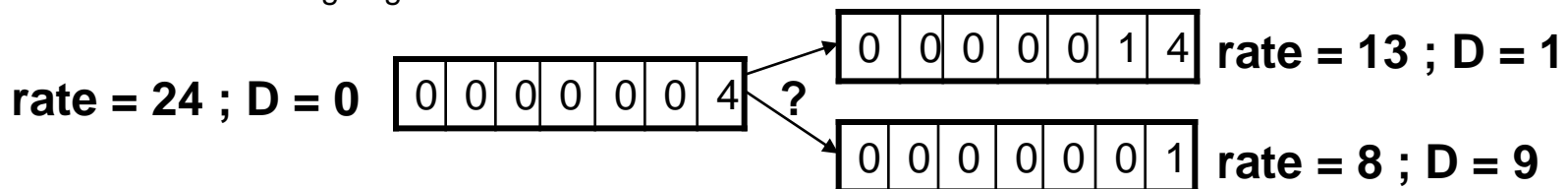
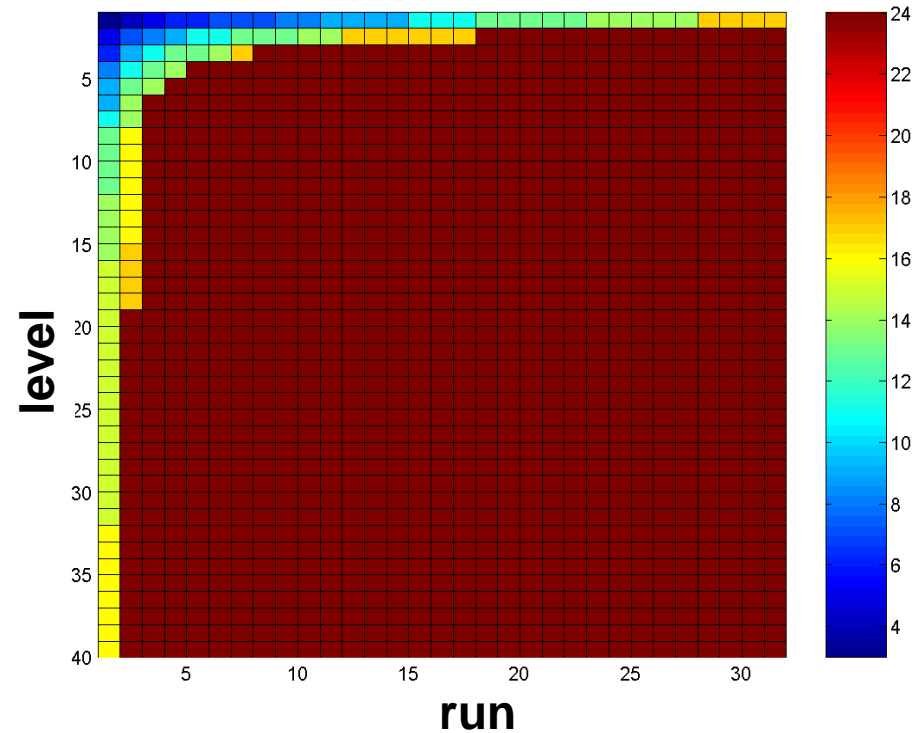


# Variable Length Coding

**Zig-zag scan of quantized DCT  
coefficient indices in block**



**VLC table**





# Extended Lagrangian Optimization

We propose to extend Lagrangian optimization by the **modification of quantized coefficients index values** :

Min  $D$ , under the constraint  $R \leq R_T$  ;

$$D = \sum_{k=1}^N d_k(q_k, \mathbf{v}) ; \quad R = \sum_{k=1}^N r_k(\mathbf{v})$$

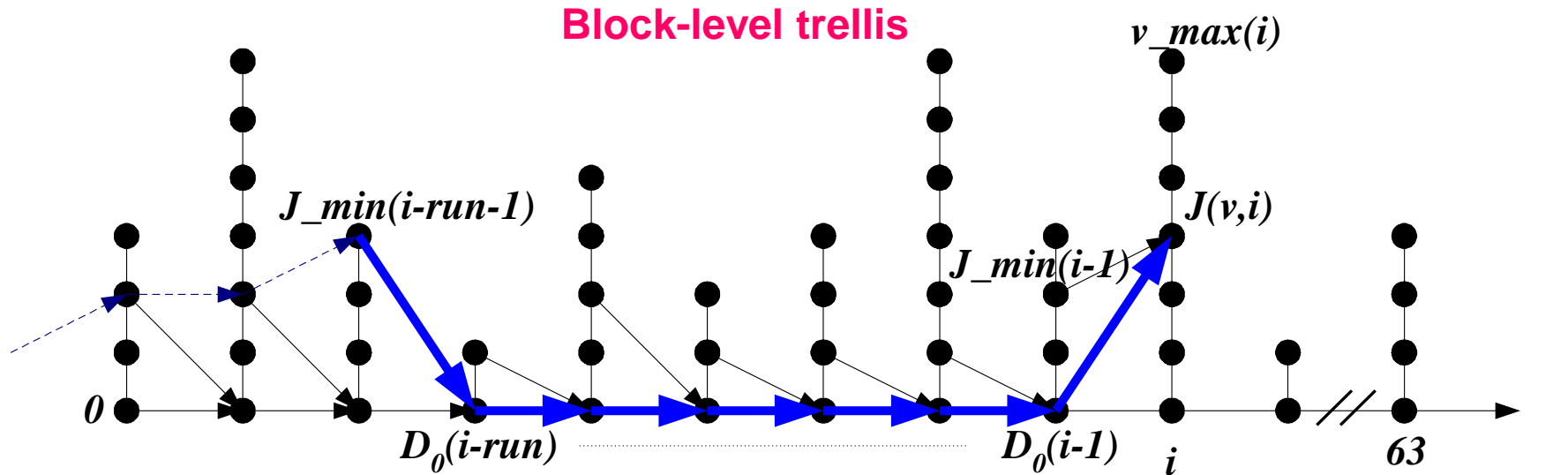
$N$  – number of MBs in picture;  $d_k$  – distortion introduced into k-th MB  
 $q_k$  – quantization step for k-th MB;  $r_k$  – rate of k-th MB after transcoding  
 $\mathbf{v}$  - vector of quantized DCT coefficients indices

Lagrangian cost function becomes sum of independent MB level calculated parts:

$$J_k(\lambda) = \min_{q_k, \mathbf{v}} \{d_k(q_k, \mathbf{v}) + \lambda r_k(\mathbf{v})\}$$



# Trellis-based Re-quantization



$$J(v,i) = \min_{run} \left\{ J_{min}(i-run-1) + \sum_{j=i-run}^{i-1} D_0(j) + \lambda R(run, v) + D(v,i) \right\}$$

$$J_{min}(i) = \min_v J(v,i)$$

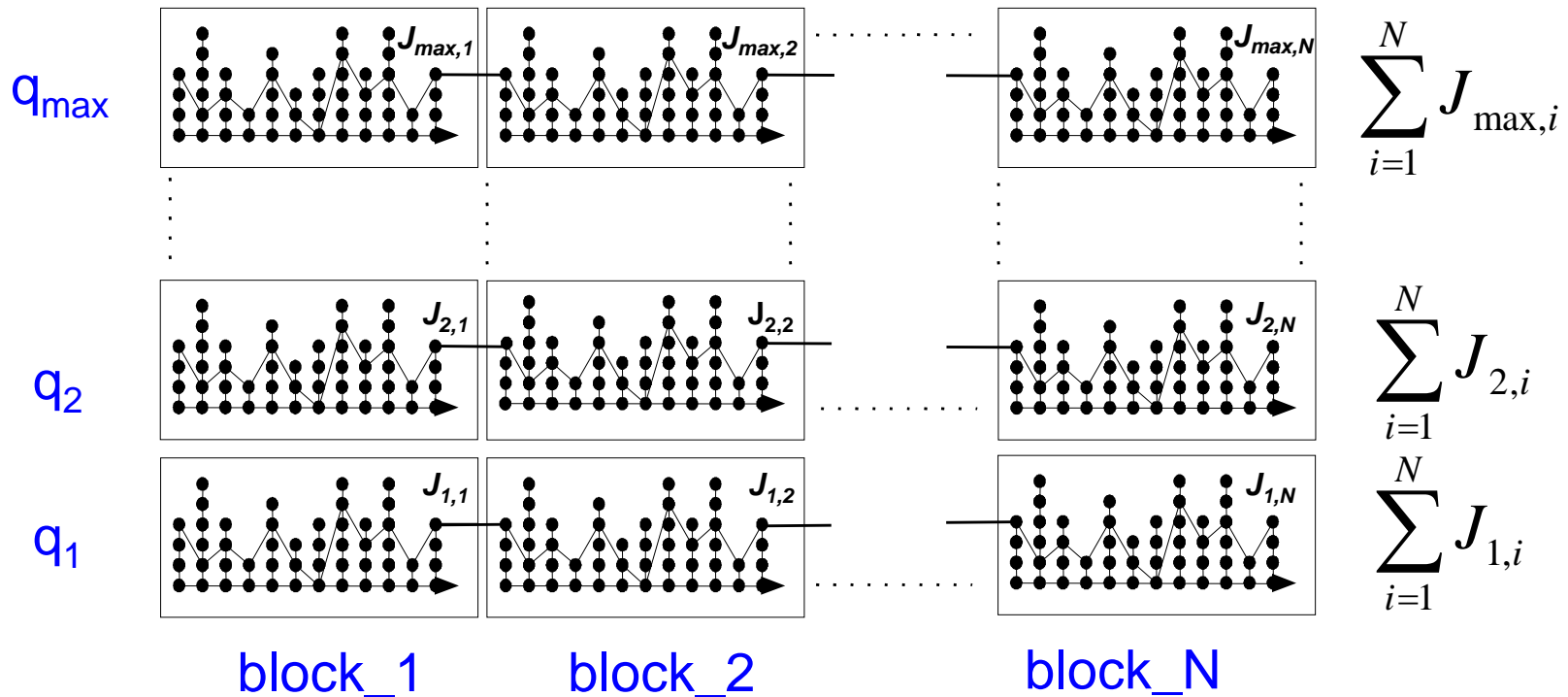
Complexity reduction:

- When  $R(run, v)$  reaches the maximum,  $J(v,i)$  is determined
- Sub-optimal: run-level pair splitting is forbidden



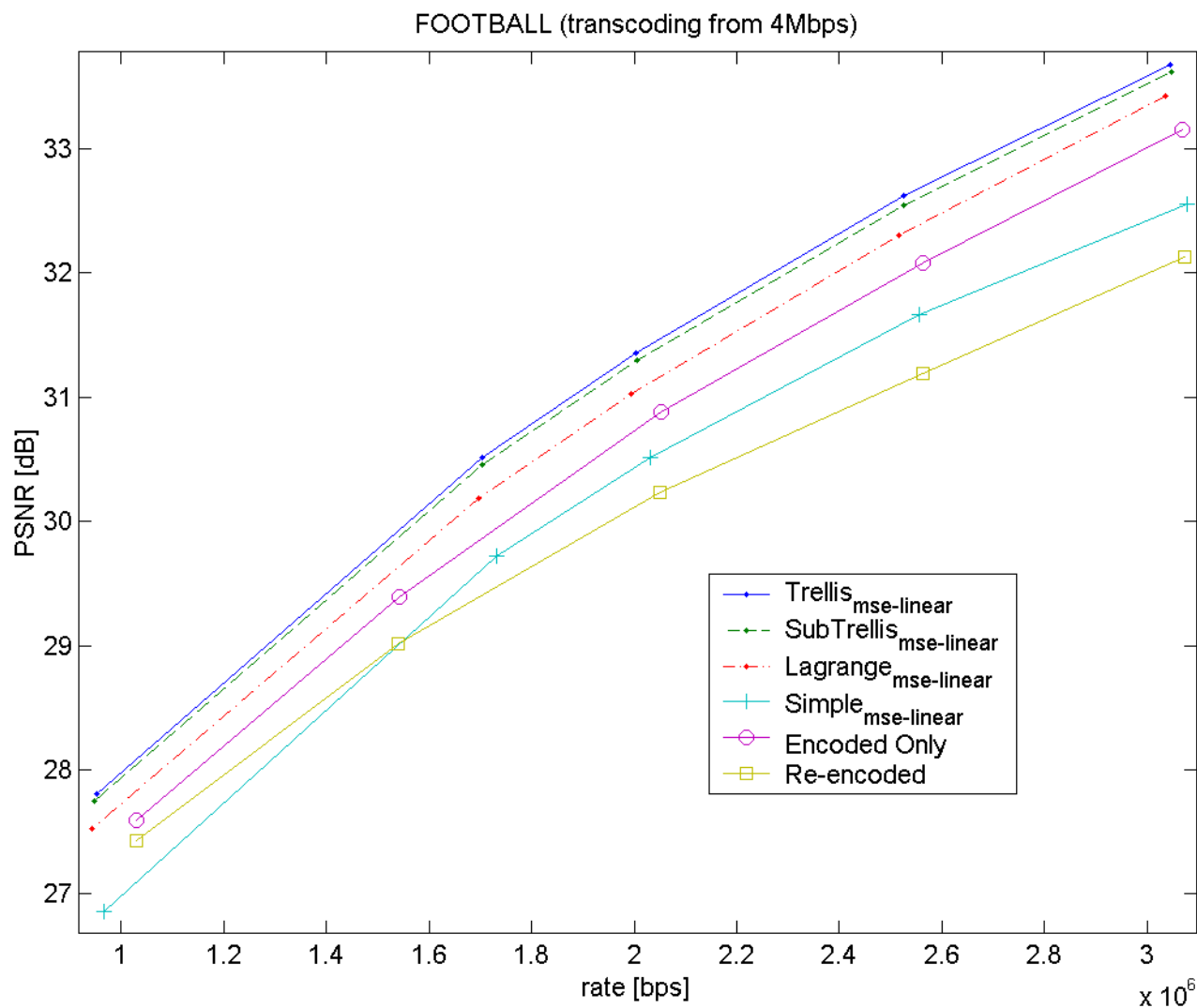
# Trellis-based Re-quantization

## Macro-Block



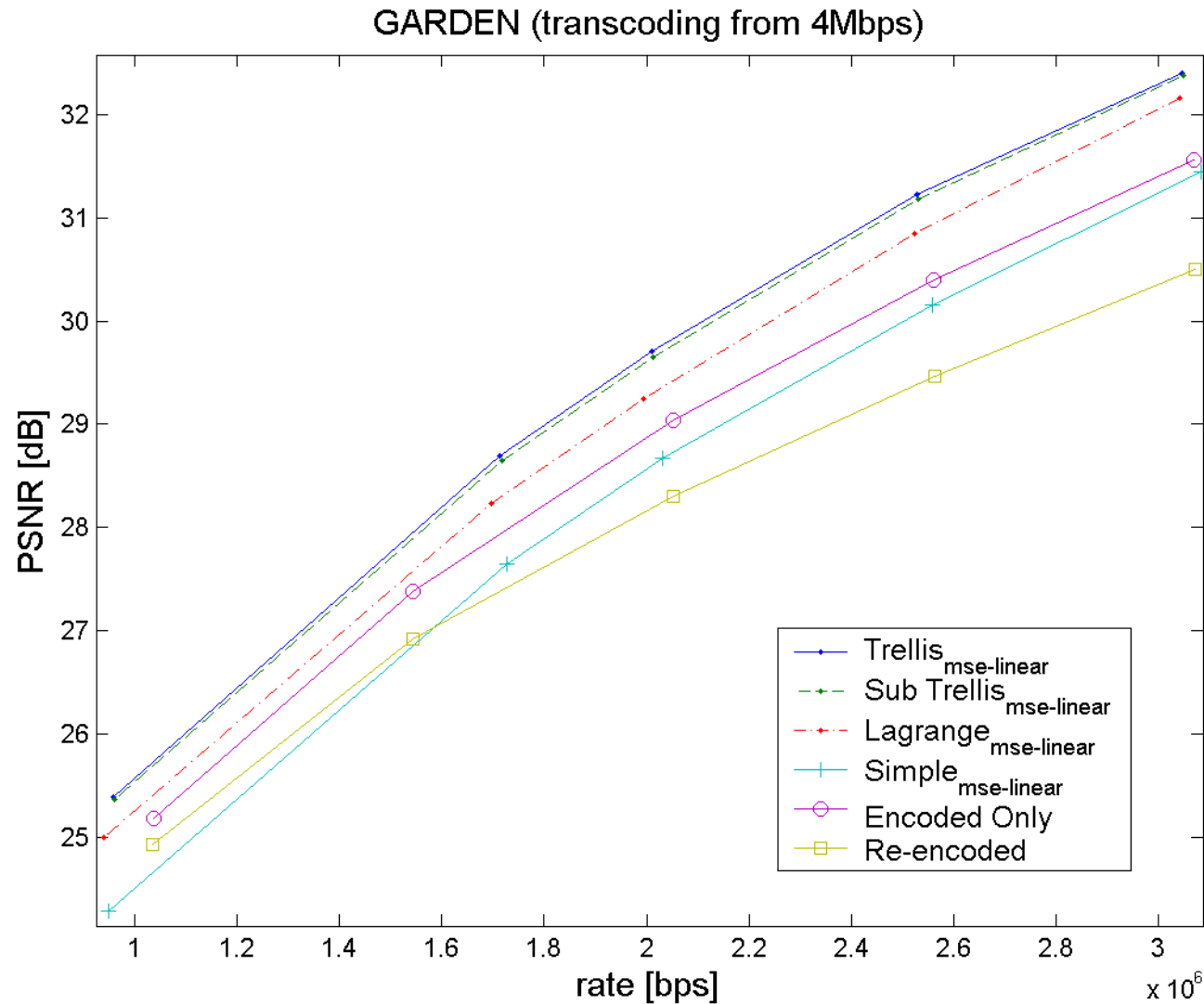


# Experimental Results





# Experimental Results





# Experimental Results

**FOOTBALL sequence from 4Mbps**

method	Run-time ratio	PSNR Improvement*
“Simple”	0.15	-0.4dB
Run-time optimized Lagrangian	1	0.3dB
Extended Lagrangian	7.9	0.7dB
Sub-optimal Extended Lagrangian	3.3	0.6dB

\* Relative to Source Encoding



# HVS-based Bit Allocation - 1

- Video frames can be partitioned (segmented) into regions having different characteristics:
  - Textured regions
  - Smooth regions
  - Edges
  - Moving objects
- Different regions have different perceptual importance and may be encoded at different rates for similar subjective quality.
- For efficiency, segmentation should be based on compressed-domain data: Block DCT Coeff., MB type, MB q-step, MB rate, and Motion Vectors (MV).
- **Bit allocation** obtained reflects the perceptual importance.

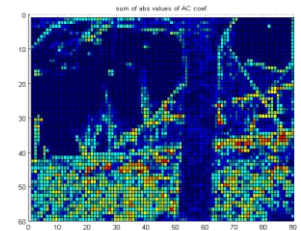




# HVS-based Bit Allocation -2

- Segmentation of I-frames:

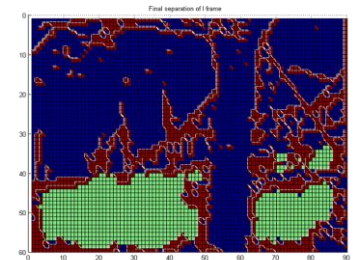
- Sum of AC coefficients absolute values as indication of block activity



- Binarization by Frame-adaptive thresholding (Otsu 1979)



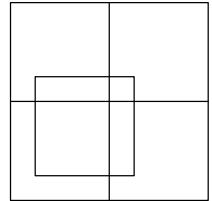
- Partition into Textured, Smooth and Boundary regions using morphological operators





# HVS-based Bit Allocation -3

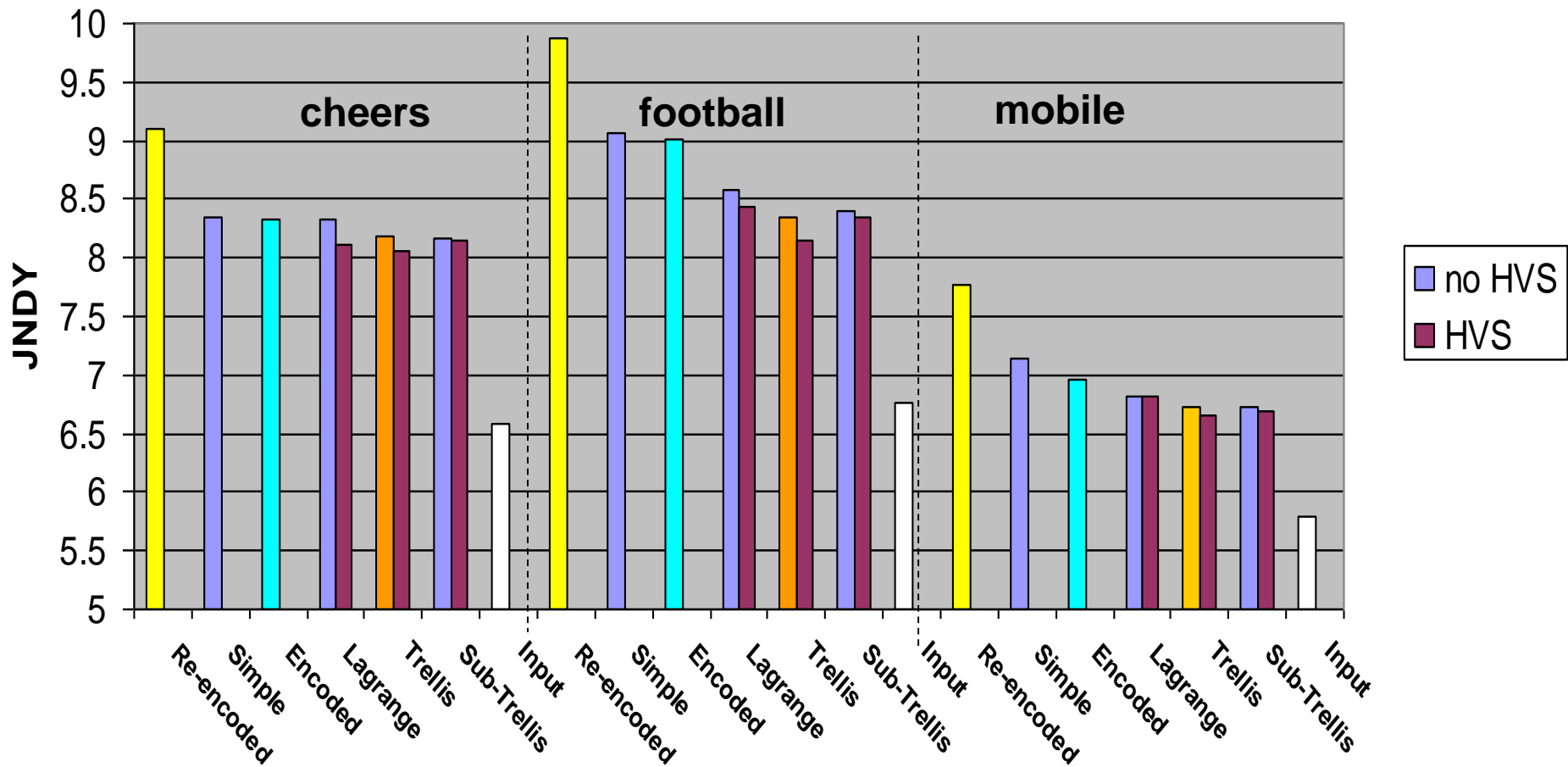
- Segmentation Tracking (P, B frames) :
  - Reference blocks are found using MVs
  - Weighted sum (proportional to each reference block prediction area) is assigned to predicted block
  - Segmentation is also applied to difference pictures and used if provides new information about textures and boundaries
- Perceptual Weighting:
  - Squared Error for each block is weighted according to its type and motion
  - Lagrangian optimization is applied with modified Distortion function





# HVS-based Bit Allocation -4

## PQA results: Transrating 6->4 Mbps





# Summary

- An Extended Lagrangian Optimization requantization is proposed and implemented using a trellis-based scheme. Its PSNR is always better than PSNR of original sequence encoding to the same rate
- Utilization of MAP and MSE methods with Lagrangian optimization is examined
- Developed an approach for integrating HVS-based models into the optimization procedure is proposed and results in improved performance



# Future directions

- Smart GOP-level BRC can further improve the performance
- Other methods like frame-rate reduction, resolution reduction and frame cropping can be combined with proposed requantization methods
- HVS-based distortion weight-allocation can be further improved



END