



Technion - EE Department
Signal and Image Processing Lab.

Rate, Distortion, and Complexity Tradeoffs
in Fractal Image Coding

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Talk Layout



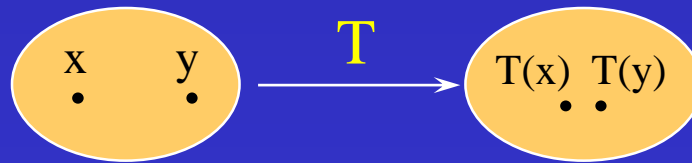
- Mathematical background.
- Fractals, what are they ?
- Fractal image coding - overview.
- Image partitioning and splitting criteria.
- Reducing encoder complexity.
- Fractal image coding combined with Matching - pursuit and VQ.
- Entropy coding of the “Fractal code”.
- Summary and conclusions.

Contractive Transformation

Let M be a metric space with metric d .

T is said to be a Contractive transformation ,

$T:(M,d) \rightarrow (M,d)$, iff



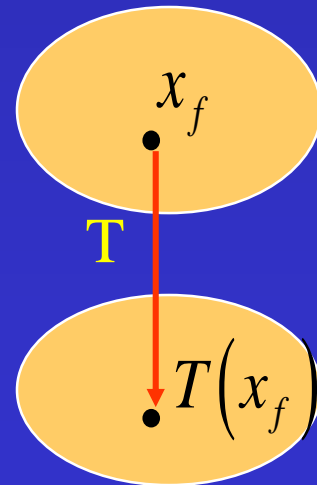
$$\exists s \quad 0 \leq s < 1 \quad , \quad \forall x, y \in M \quad d(T(x), T(y)) \leq sd(x, y)$$

Fixed Point

Let (M, d) be a complete metric space and $T: (M, d) \rightarrow (M, d)$ be a contractive transformation.

Then there exists a unique point, (Fixed point) such that :

$$T(x_f) \rightarrow x_f$$



$$\forall x_0 \in M, \quad T^n(x_0) \xrightarrow{n \rightarrow \infty} x_f$$

Collage Theorem

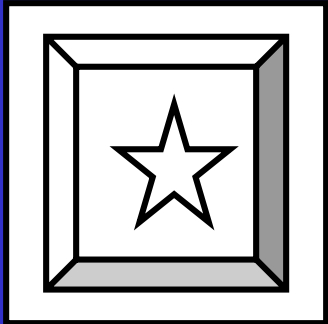
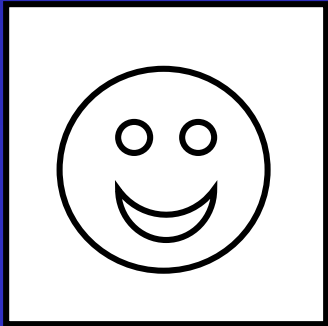
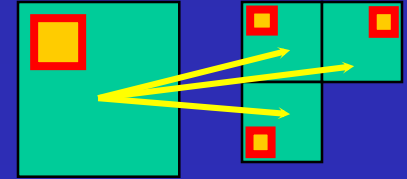
Let (M,d) be a complete metric space and $T:(M,d) \rightarrow (M,d)$ be a contractive transformation with a fixed point x_f .

$$d(x, x_f) \leq \frac{1}{1-s} d(T(x), x)$$

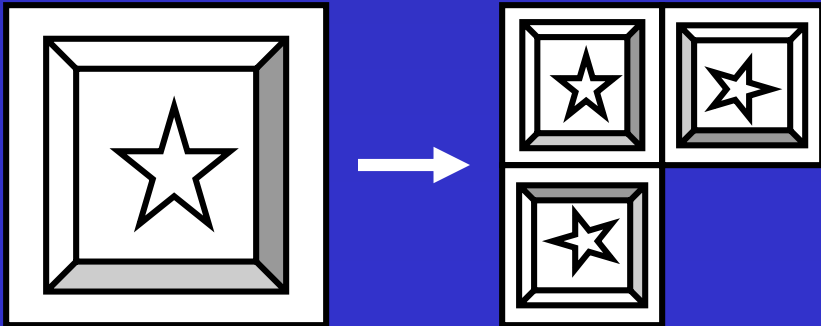
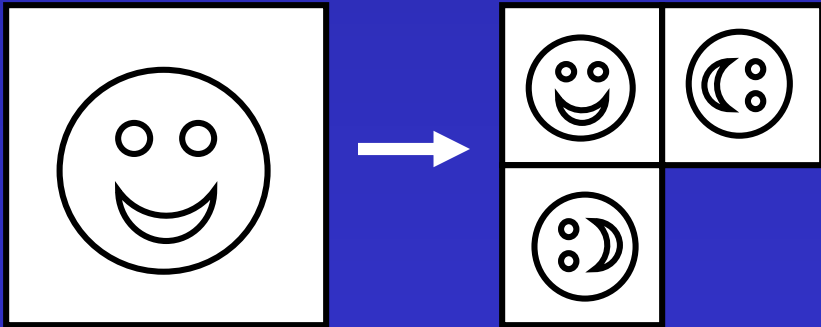
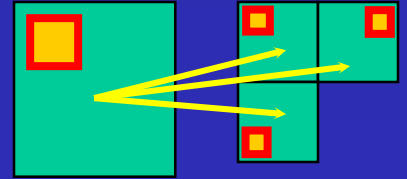
The inverse problem

Find T such that $T(x) \approx x_f$

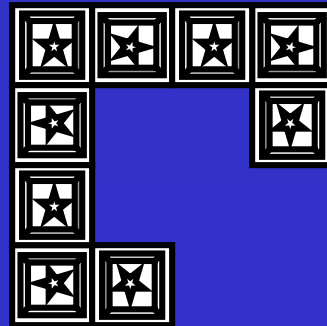
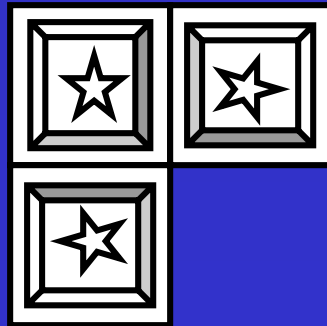
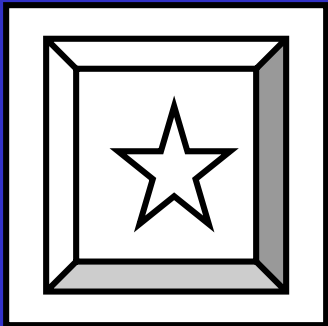
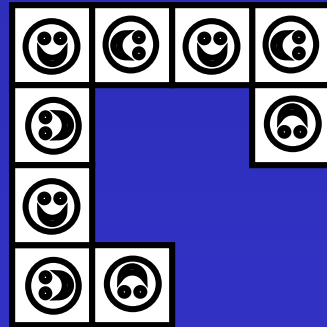
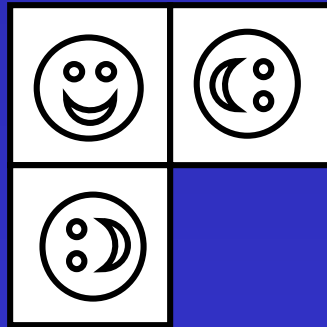
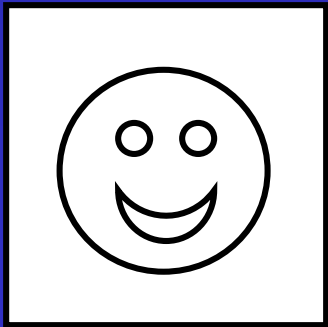
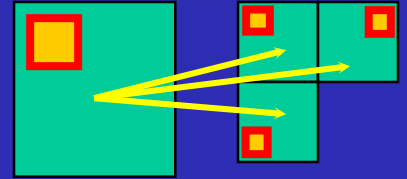
Fractal Generation



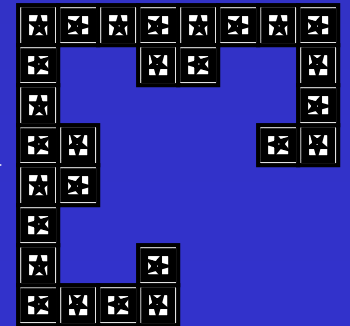
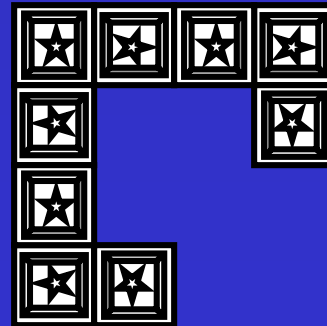
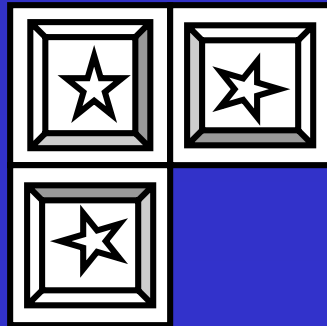
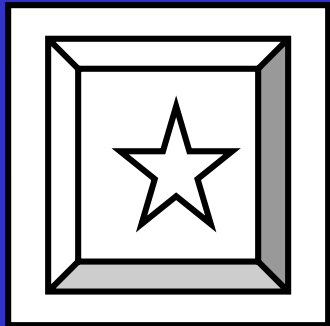
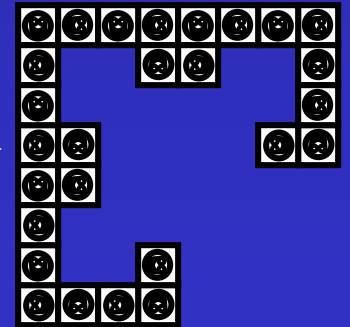
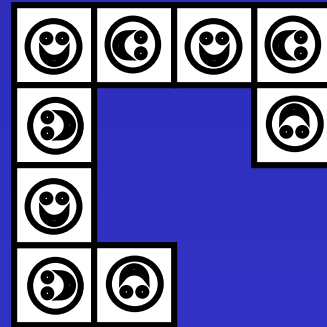
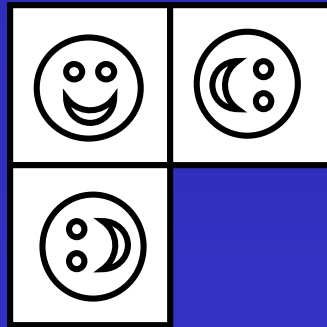
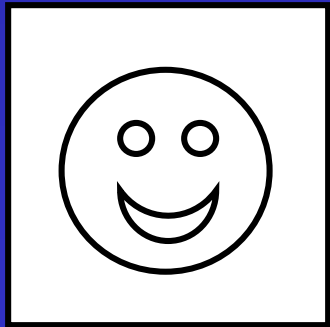
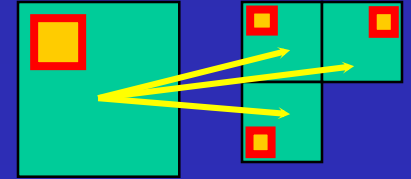
Fractal Generation



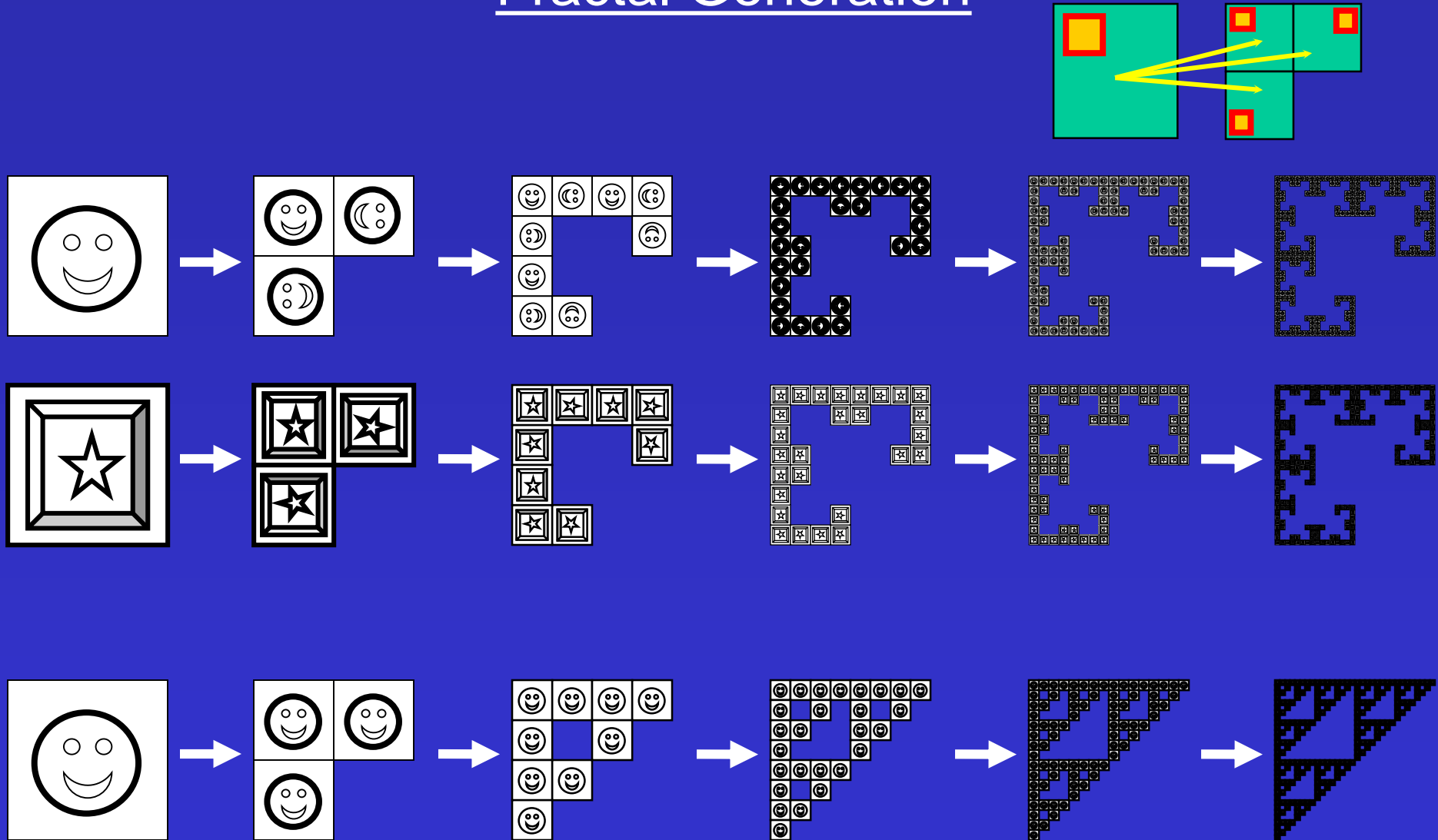
Fractal Generation



Fractal Generation

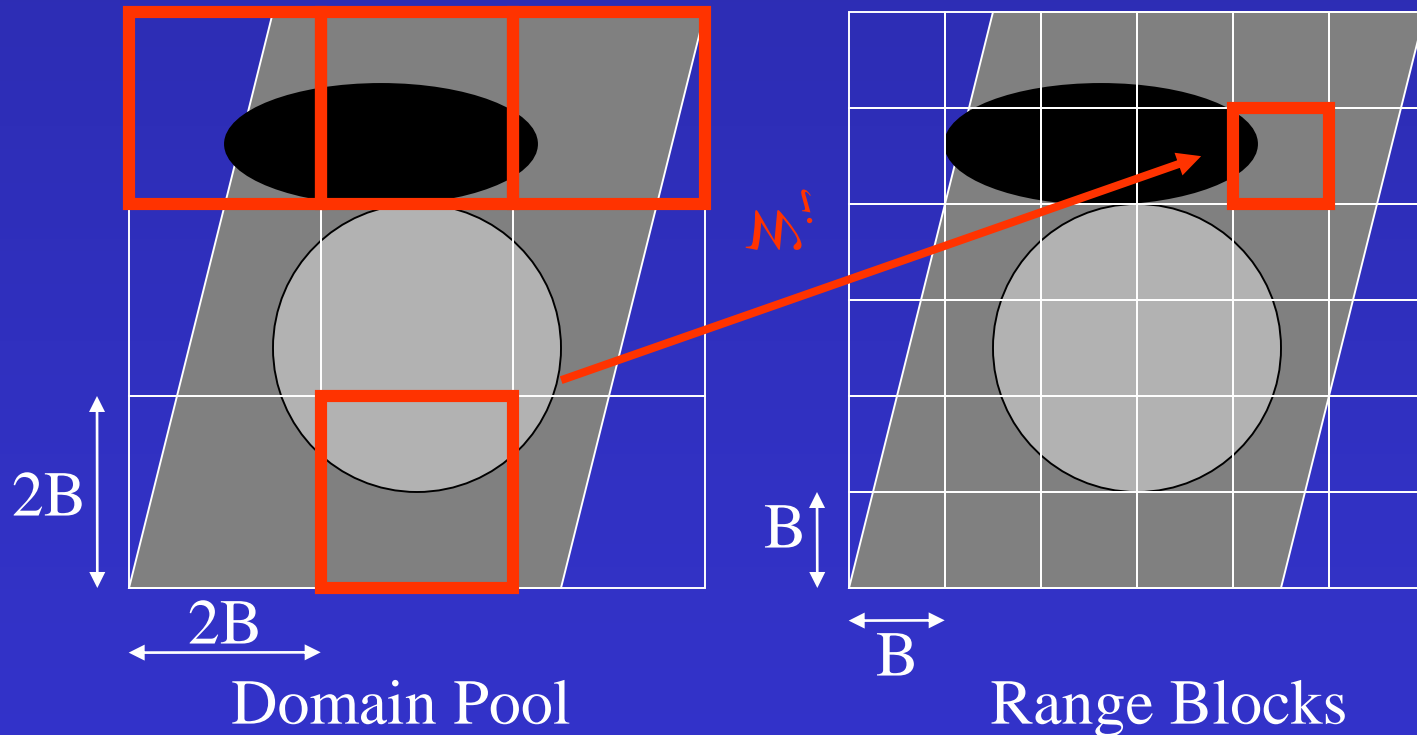


Fractal Generation



The First Fractal Image Coding Algorithm [Jacquin 1989]

Based on collage theorem : Find transformation M_i such that its fixed point X_f is "close" to an image X . $M = \bigcap M_i$



For each range block, find best domain block, using an Affine transformation, such that minimize the Collage error

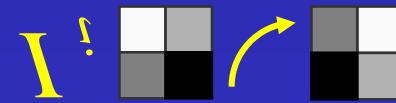
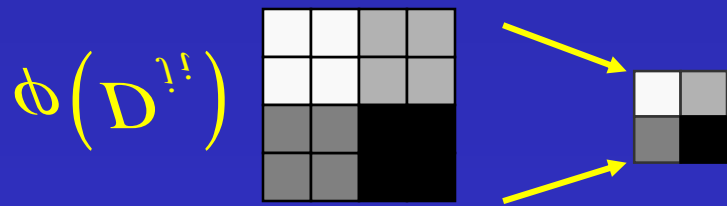
The First Fractal Image Coding Algorithm (cont'd)

[Jacquin 1989]

$$\hat{Y}^i = \alpha^i \cdot I^i \left(\phi \left(D^{i,i} \right) \right) + \rho^i \cdot I^{B \times B}$$

$$\min \left\| Y^i - \hat{Y}^i \right\|_S^2$$

Where:



α^i

- (w_i is contractive if $|\alpha^i| < 1$)

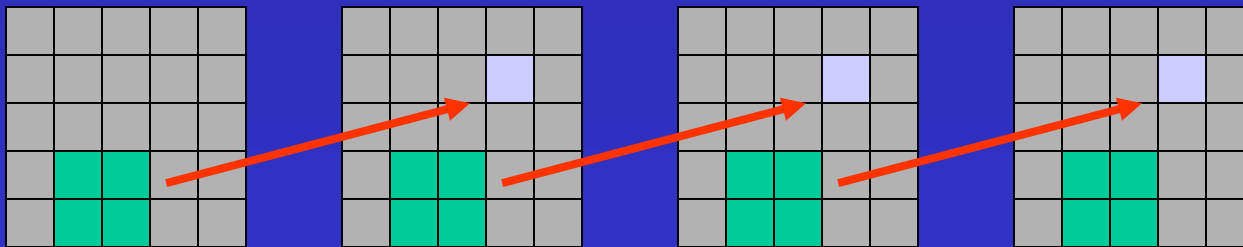
The "Fractal Code" to be transmitted: $M = \bigcap_{i=1}^L M^i = \bigcap_{i=1}^L \{ \alpha^i, \gamma^i, \rho^i, I^i \}$

The First Fractal Image Coding Algorithm (cont'd)

[Jacquin 1989]

Iterative Decoding

Apply W iteratively to **any initial** image until successive iterations differs slightly



DC - Orthogonalization [Øien 1991]

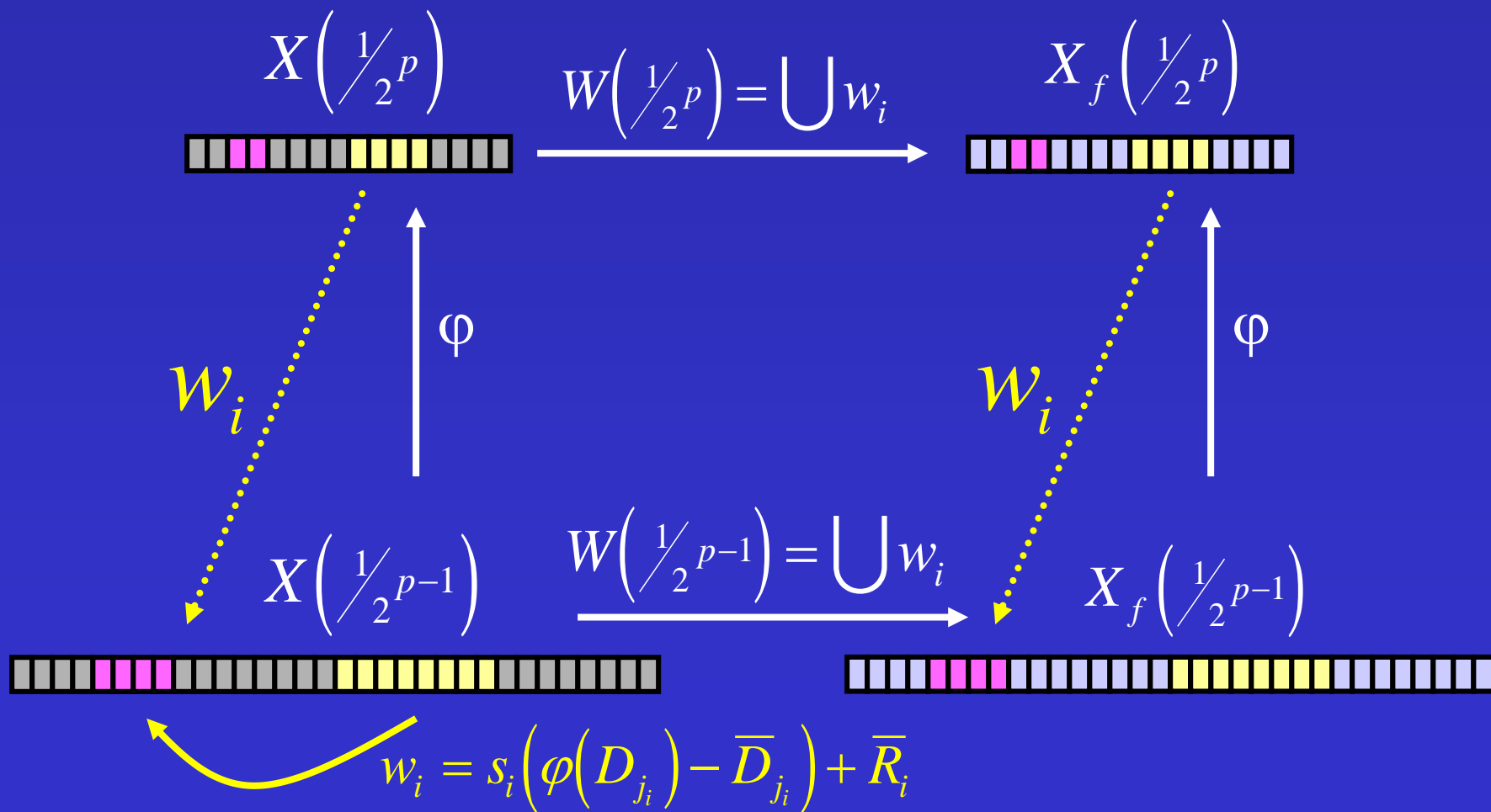
Instead of using: $\mathbf{Y}^i \approx \alpha^i \cdot \phi\left(\mathbf{D}^{j_i}\right) + \rho^i \cdot \mathbf{I}^{B \times B}$

Use: $R_i \approx s_i \cdot \varphi\left(D_{j_i} - \bar{D}_{j_i}\right) + \bar{R}_i \cdot \mathbf{1}_{B \times B}$

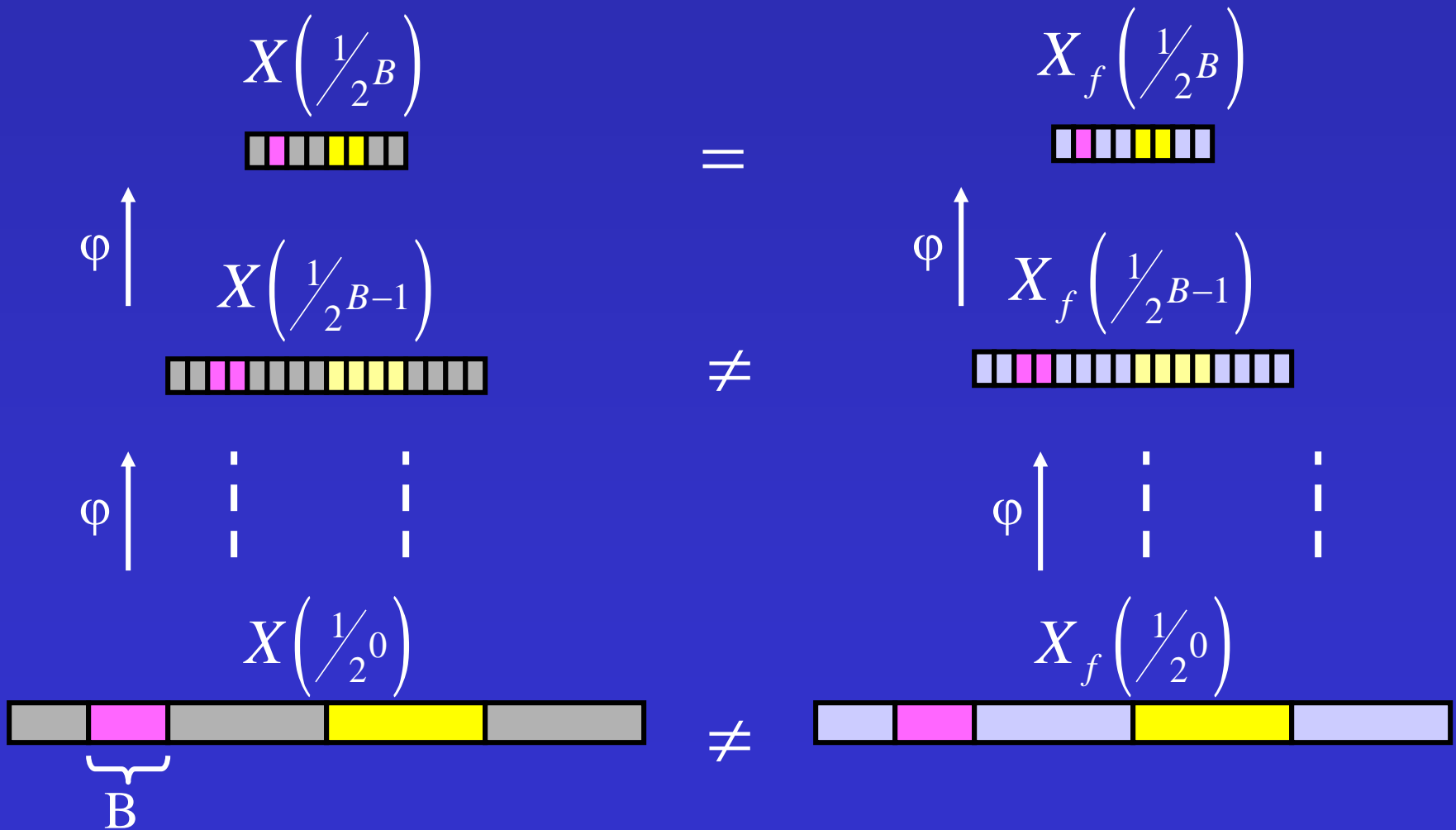
Where: \bar{R}_i - mean of range block
 \bar{D}_{j_i} - mean of domain block

The fractal code: $W = \bigcup_i w_i = \bigcup_i \{s_i, j_i, \bar{R}_i\}$

Hierarchical Fast Decoding Combined with DC Orthogonalization [Baharav et al. 1993]



Hierarchical Fast Decoding Combined with DC Orthogonalization [Baharav et al. 1993] (cont'd)



Hierarchical Fast Decoding Combined with DC Orthogonalization [Baharav et al. 1993] (cont'd)

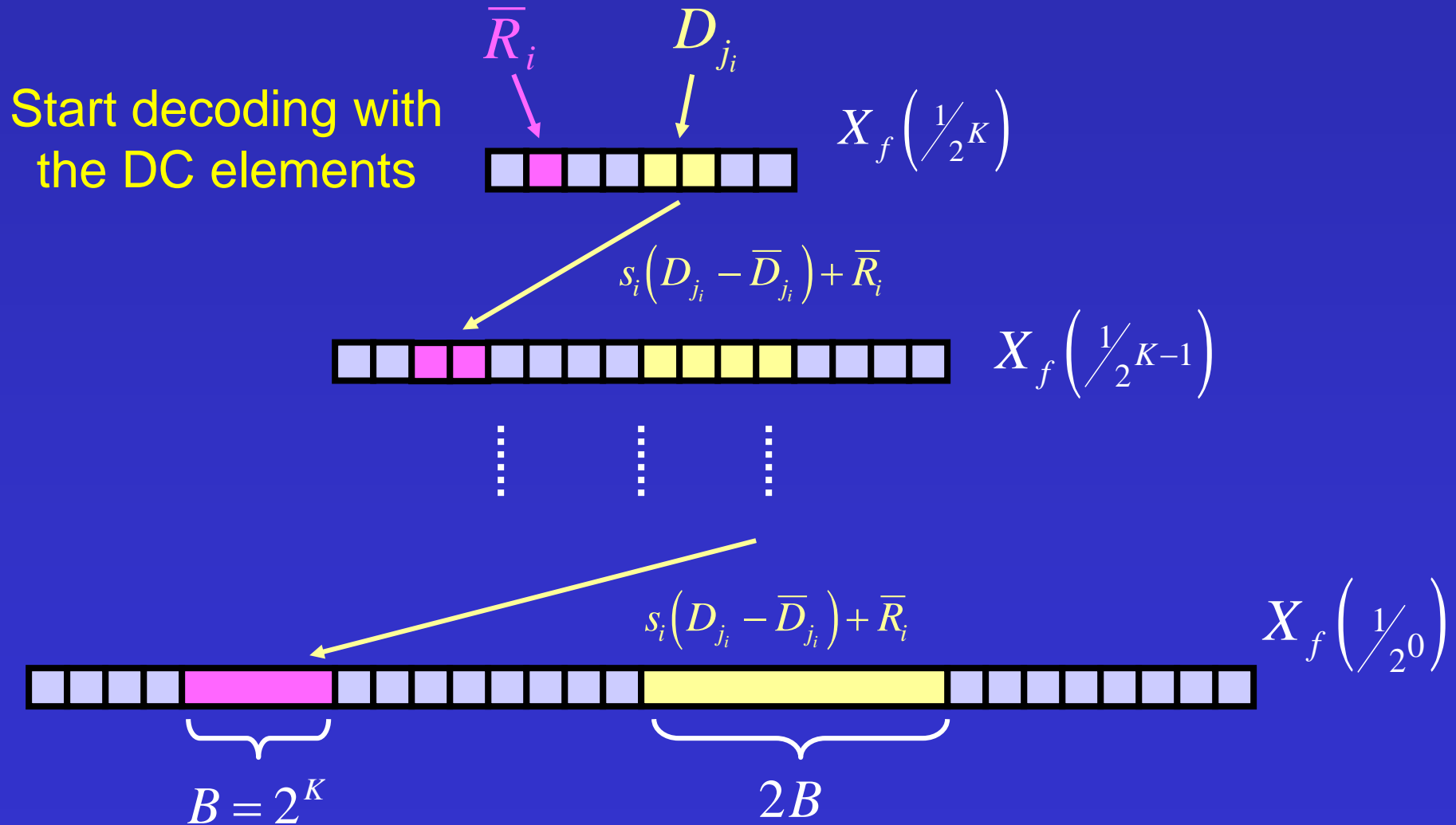
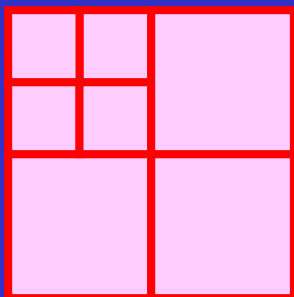


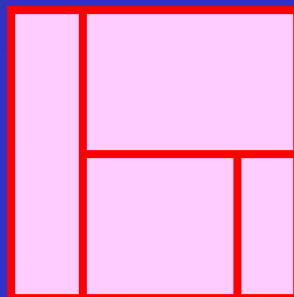
Image Partition and Split criteria

Using range blocks at various shapes and sizes improves coding efficiency.

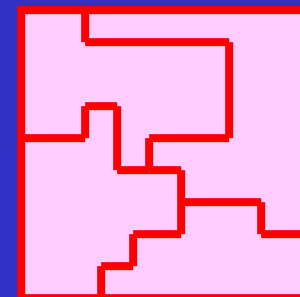
- Use top-down or bottom-up ? Merge small blocks to larger ones or split large blocks into small ones ?
- Which partitioning structure to use ? Quadtree, Triangles, HV...
- Which splitting criterion to use ? Entropy, Variance ...



Quadtree partitioning
- Fisher 1992



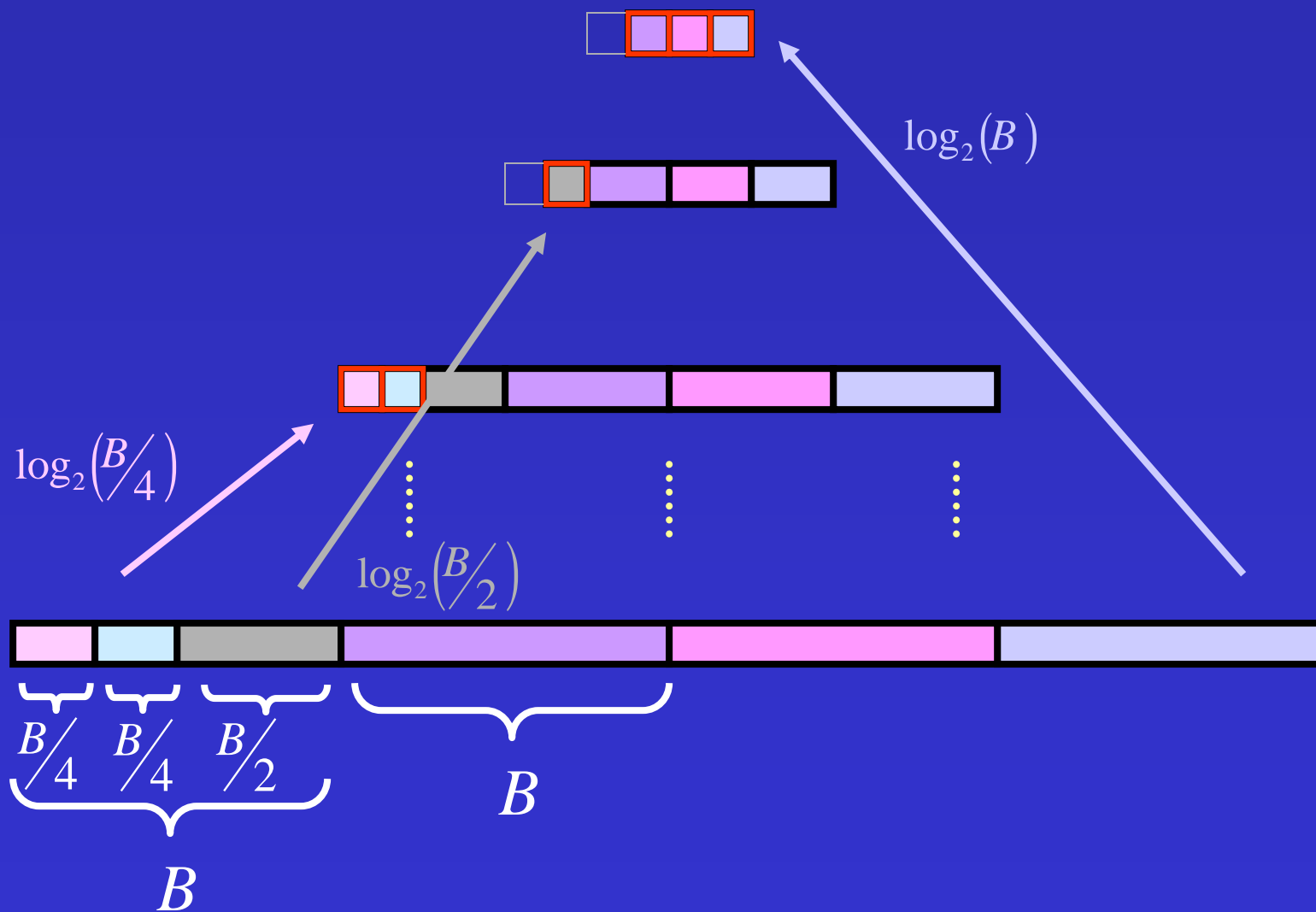
HV partitioning
- Fisher 1995



Block merging
(bottom-up) - Thomas
1995

Hierarchical Fast Decoding Using Quadtree Partitioning

[Sutskover 1998]

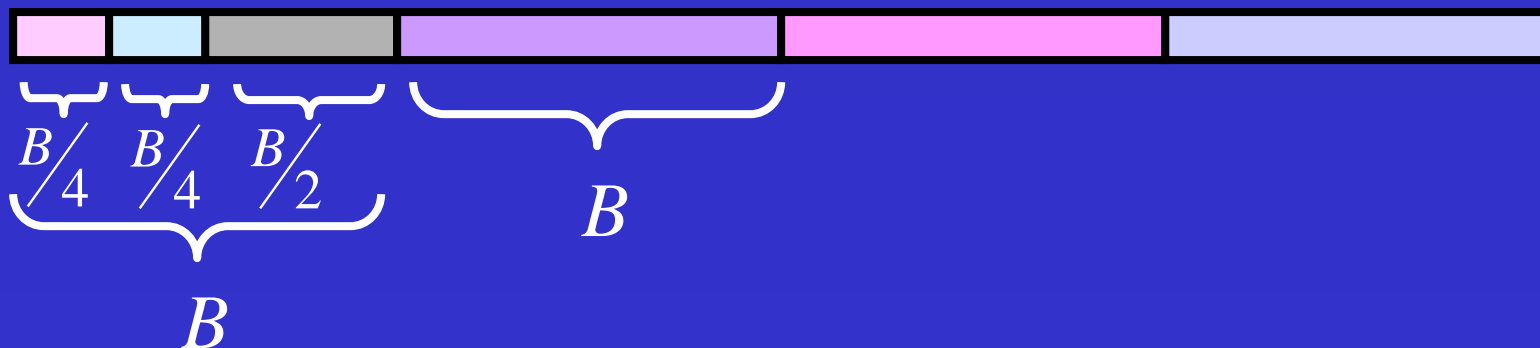
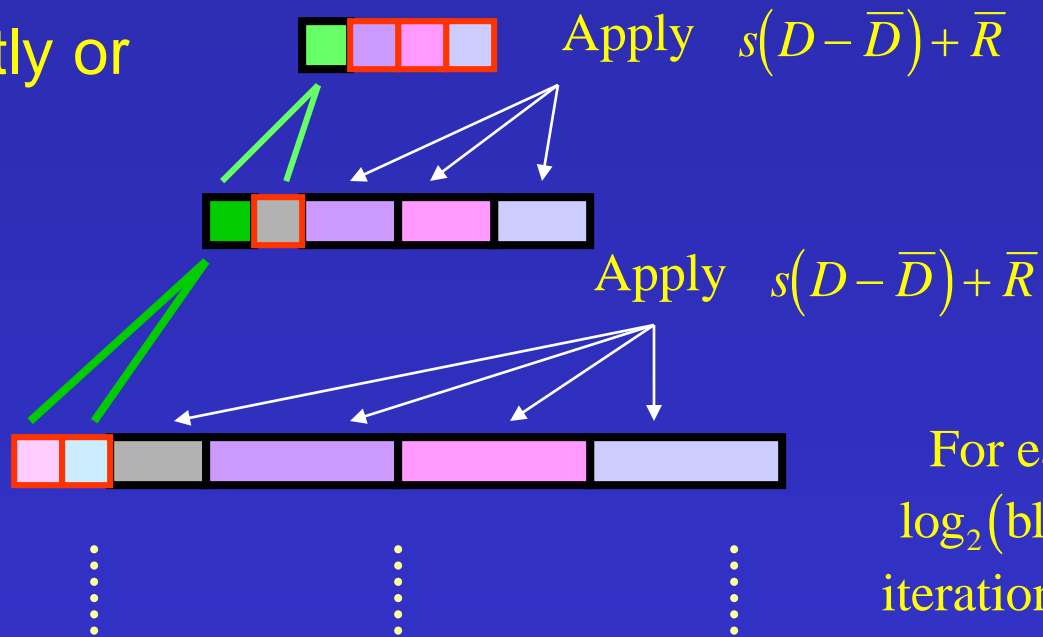


Hierarchical Fast Decoding Using Quadtree Partitioning

(cont'd)

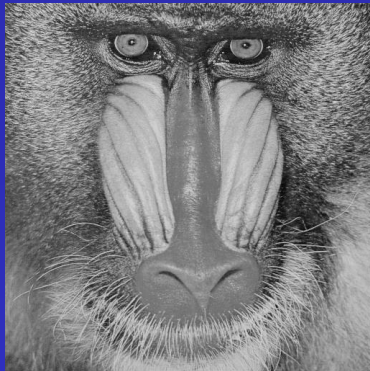
[Sutskover 1998]

All mean values are known explicitly or implicitly

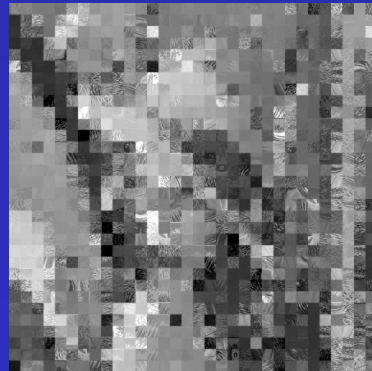


Comparison of Decoding Algorithms

Iterative Decoding



Start image



1st iteration



2nd iteration



3rd iteration

- Infinite number of iterations are needed to converge to a fixed point

Comparison of Decoding Algorithms (cont'd)

Hierarchical Decoding

- $\log_2(\text{max block size}) + 1$ pyramid levels - finite, known, number of operations



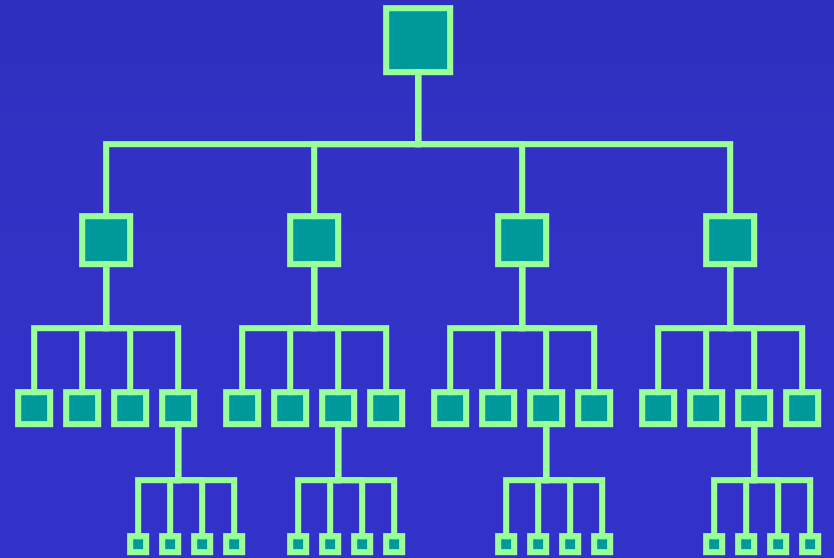
- Each pyramid level contains less elements - reduction in computations
- True fixed point is achieved
- There are no contractivity considerations

Quadtree Image Partitioning

Threshold - based splitting criterion



Each range block is divided into four sub-range blocks if its collage error is less than a predefined threshold.



Quadtree Image Partitioning

Rate-Distortion - based splitting criterion

Gain G_{RD_i} for range block \tilde{R}_i , is defined as :

The Gain denotes the Collage error decrease per bit if a block is split

$$G_{RD_i} = \frac{-\left(\left(\sum_{m=1}^4 \|E_{i_m}\|_2^2\right) - \|E_i\|_2^2\right)}{\left(\sum_{m=1}^4 |w_{i_m}| - |w_i| + |Q_i|\right)}$$

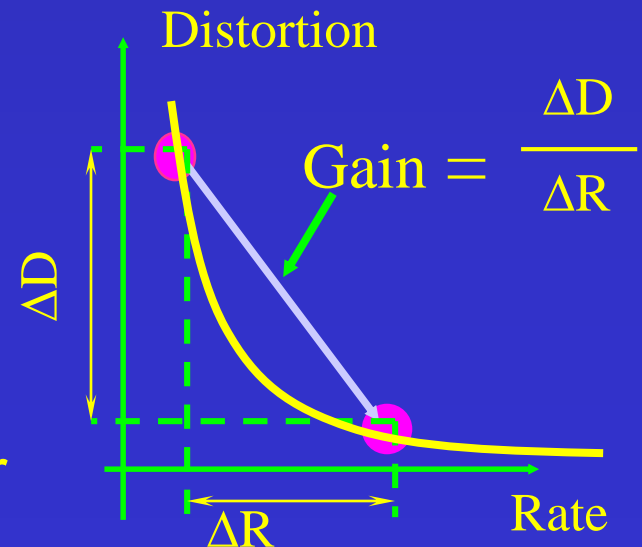
Where:

$$w_i = \{\bar{R}_i, j_i, s_i\}$$

$$|w_i| = |\bar{R}_i| + |s_i| + |j_i|$$

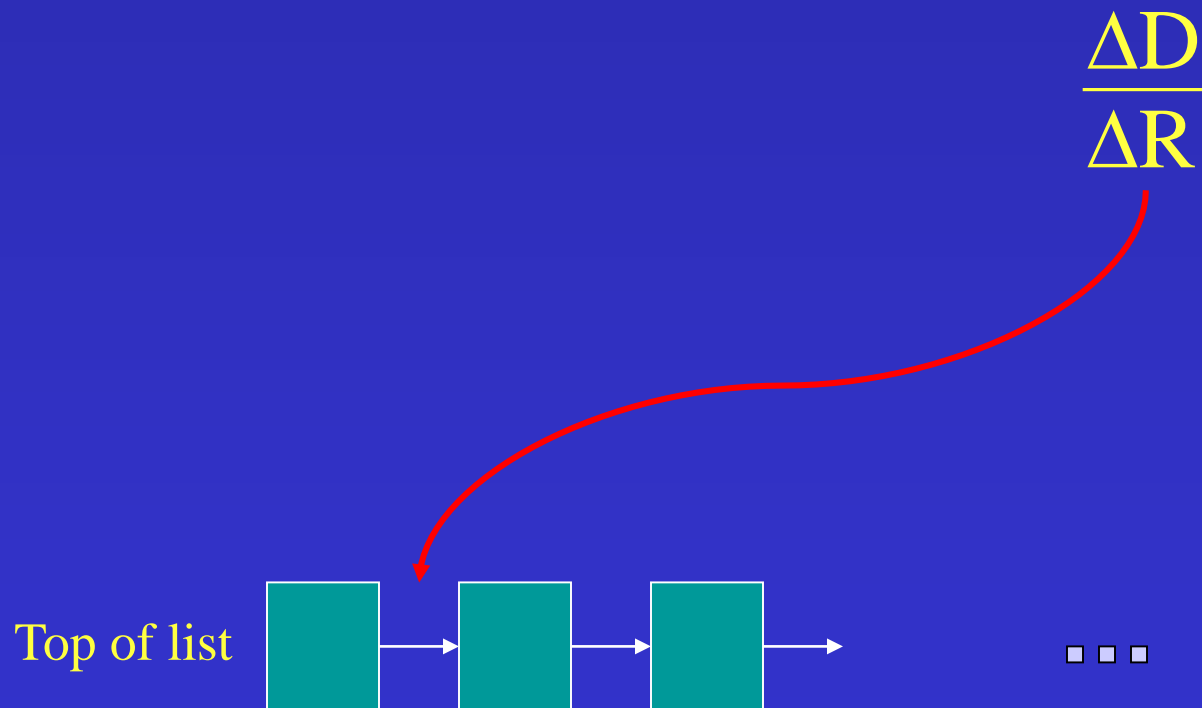
- Bits needed to describe w_i

$$\|E_i\|_2^2 = \left\| \tilde{R}_i - s_i \tilde{D}_{j_i} \right\|_2^2 \quad \text{- Collage error}$$



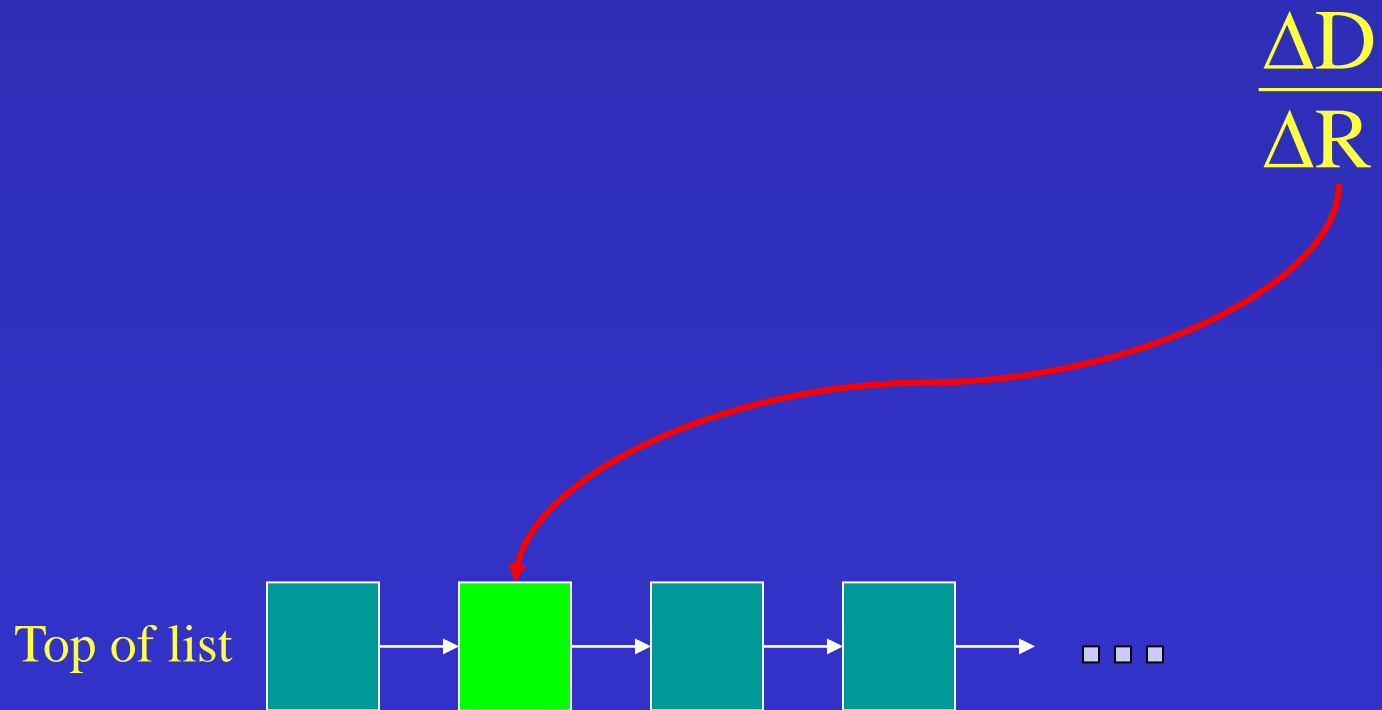
Quadtree Image Partitioning

Rate-Distortion - based splitting criterion (cont'd)



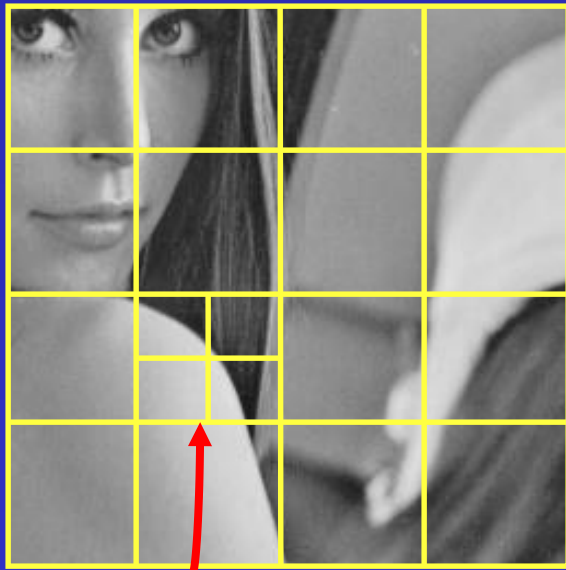
Quadtree Image Partitioning

Rate-Distortion - based splitting criterion (cont'd)

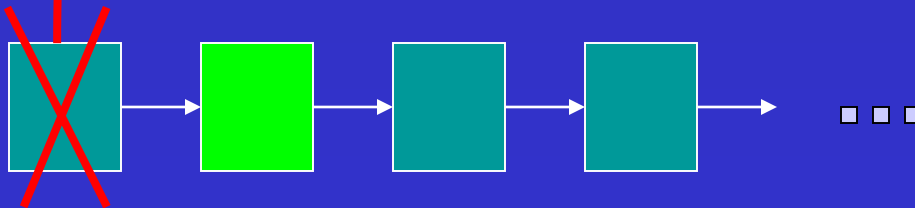


Quadtree Image Partitioning

Rate-Distortion - based splitting criterion (cont'd)

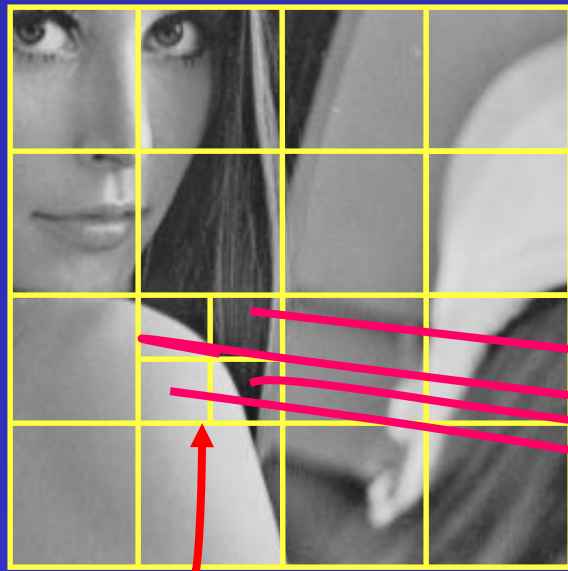


Top of list

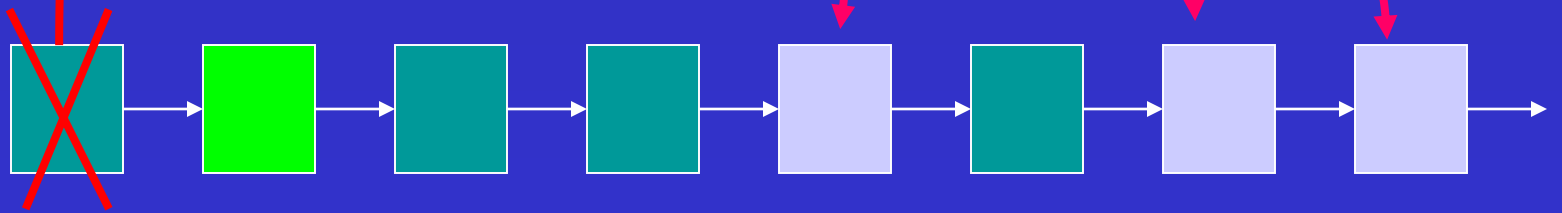


Quadtree Image Partitioning

Rate-Distortion - based splitting criterion (cont'd)

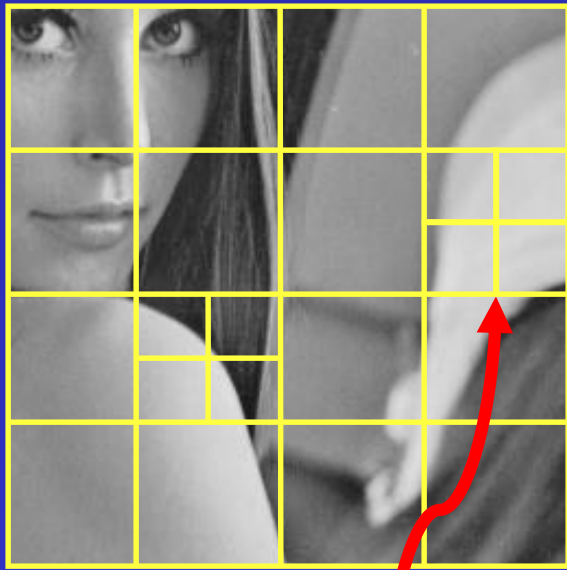


Top of list



Quadtree Image Partitioning

Rate-Distortion - based splitting criterion (cont'd)



New top of list



Comparison of Splitting Criteria

Threshold - based splitting criterion :

- ✓ Local decision - Independent decision for each range block.
- ✓ A good model for determining the threshold is not available.
- ✓ No direct control of the bit rate.

Rate-Distortion - based splitting criterion :

- ✓ The whole tree structure is taken into consideration for each splitting decision.
- ✓ Direct control of the bit rate or the collage error.
- ✓ One splitting level is practically enough.
- ✓ Computational complexity is almost four times than threshold - based splitting criterion.

Collage-Error Computational-Complexity Splitting Criterion

For range block \tilde{R}_i Gain G_{CCi} , is defined as :

$$G_{CCi} = \frac{\text{Collage error}}{\text{Added complexity}}$$

Priority is given to high Gain, e.g., a block with **high** Collage error but with a **small** number of computations for reducing it.

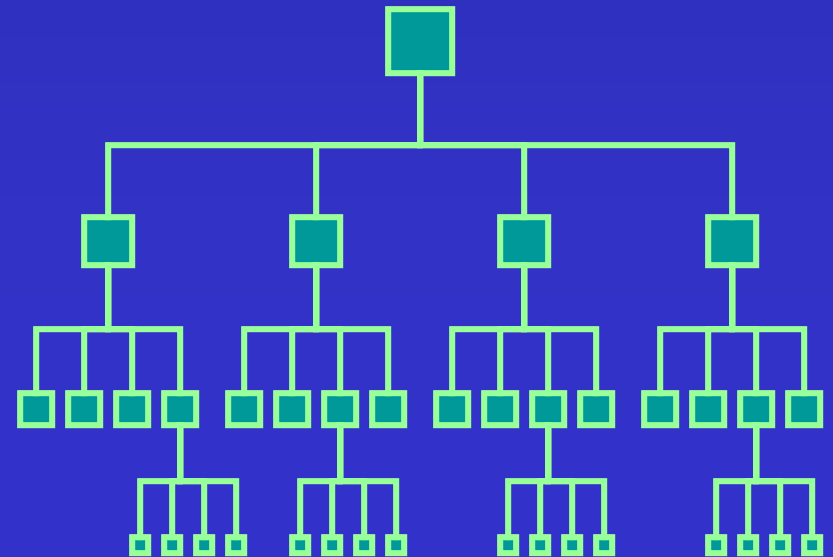
The algorithm : Keep splitting according to a descending Gain list until a designated complexity is achieved.

Adaptive Fractal Image Coding under Complexity and Rate Constraints

Using two top-down passes

First pass, find Quadtree structure using G_{CC} under Complexity constraint

Second pass, find sub-tree using G_{RD} under Rate constraint

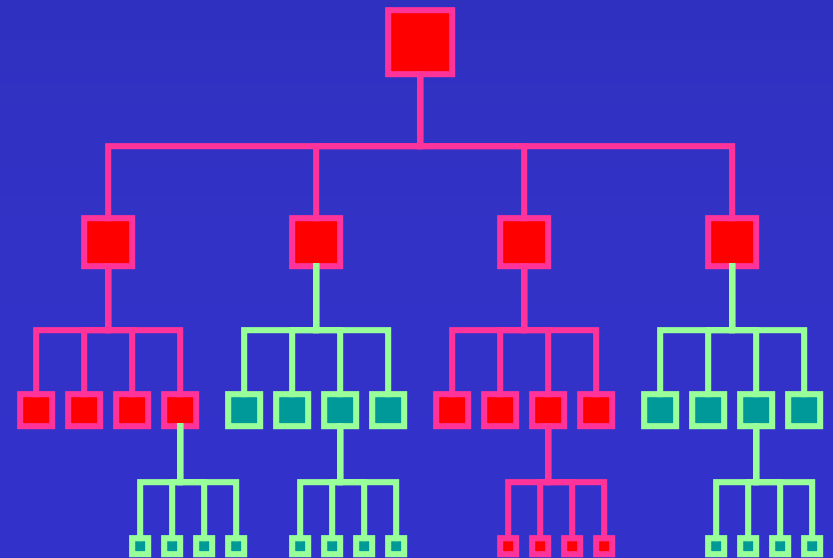


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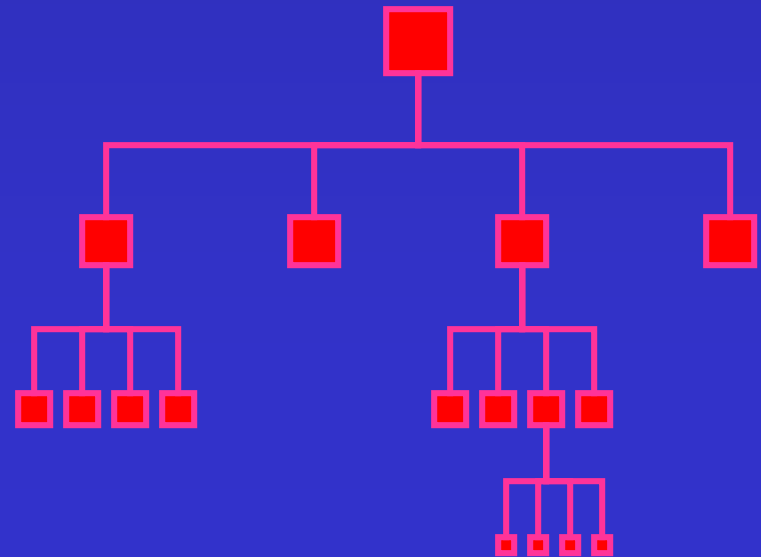


Adaptive Fractal Image Coding under Complexity and Rate Constraints

Using two top-down passes

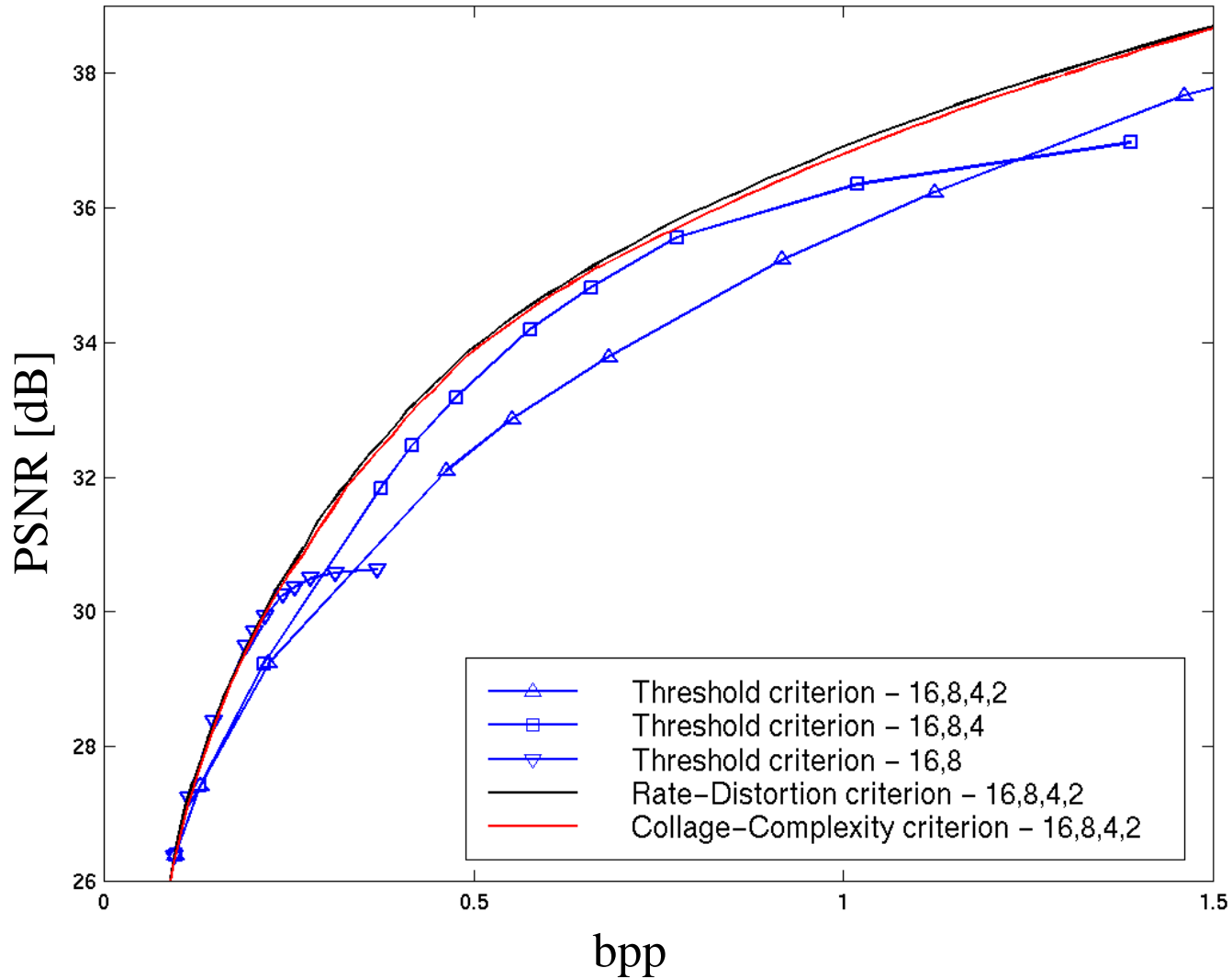
First pass, find Quadtree structure using G_{CC} under Complexity constraint

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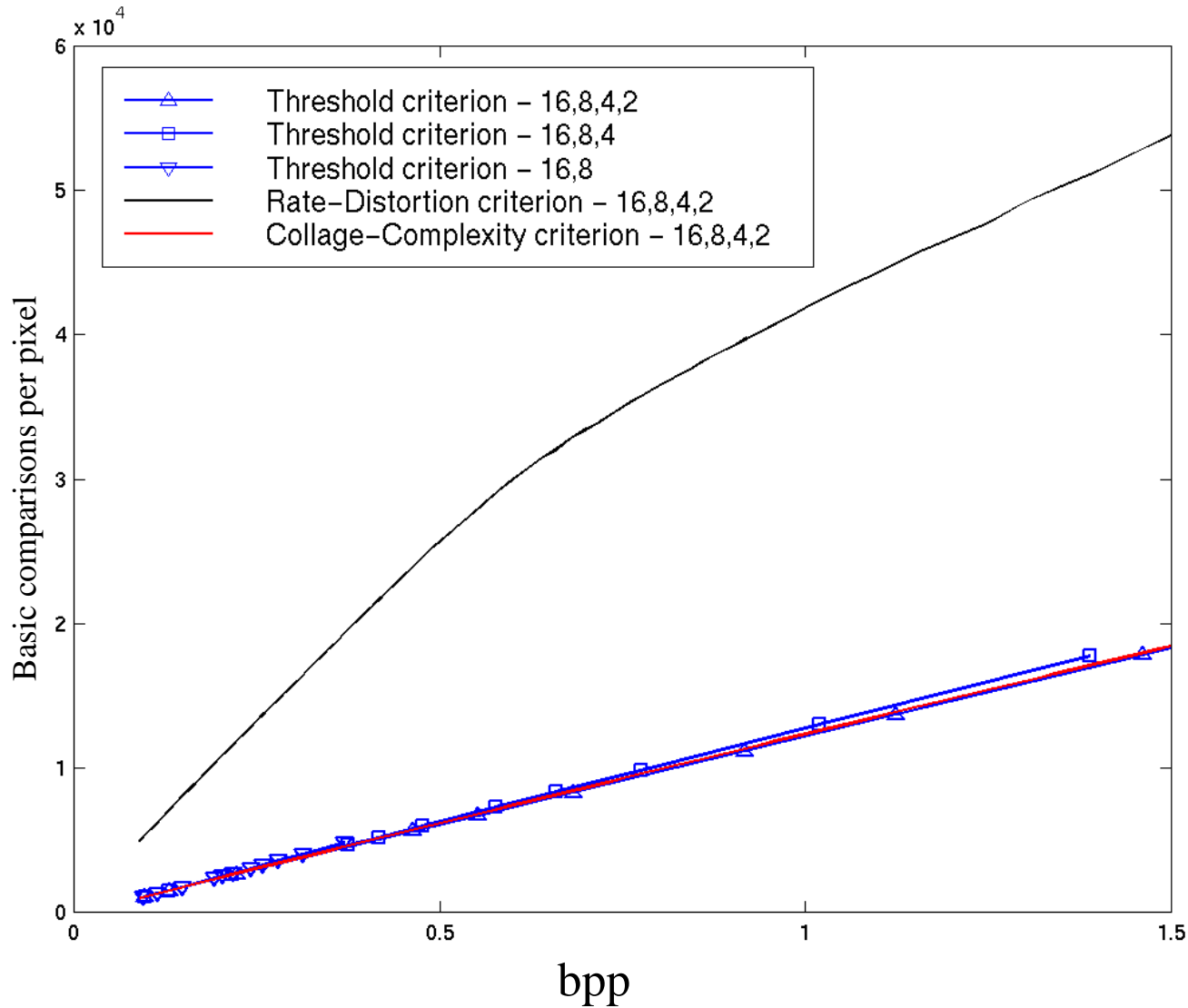
Results - "Lena" Image

PSNR vs. Rate



Results - "Lena" Image

PSNR vs. Rate



Comparison of Splitting Criteria

compression ratio $\cong 1:8$

Threshold- based criterion

Rate-Distortion - based criterion



PSNR $\cong 35.9$ [dB]



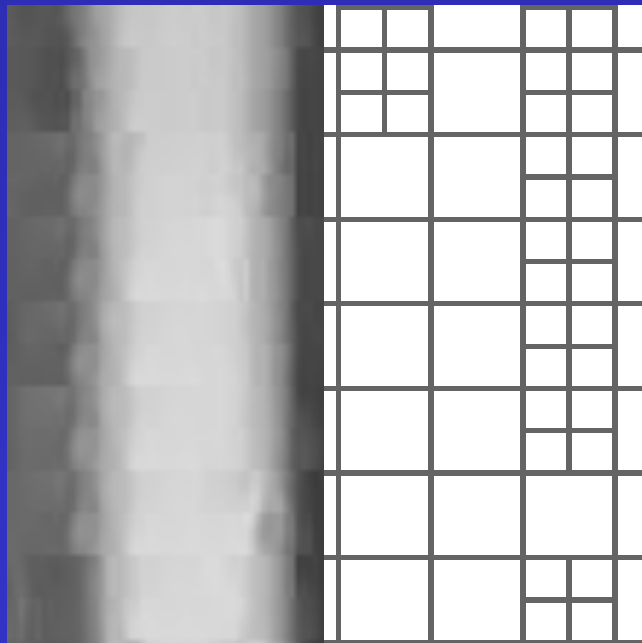
PSNR $\cong 36.9$ [dB]

Range block sizes = 16x16, 8x8, 4x4, 2x2

Comparison of Splitting Criteria (cont'd)

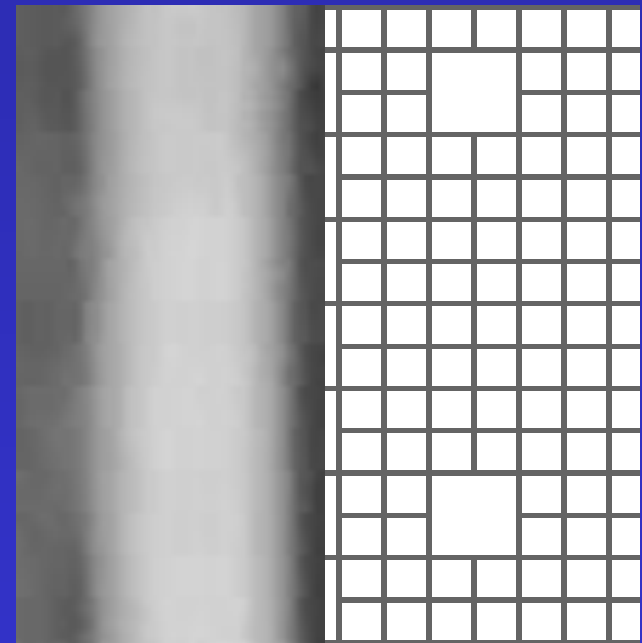
compression ratio $\cong 1:8$

Threshold- based criterion



PSNR $\cong 35.9$ [dB]

Rate-Distortion - based criterion



PSNR $\cong 36.9$ [dB]

Range block sizes = 16x16, 8x8, 4x4, 2x2

Comparison of Splitting Criteria (cont'd)

compression ratio $\cong 1:8$

Threshold- based criterion

Rate-Distortion - based criterion



PSNR $\cong 35.9$ [dB]



PSNR $\cong 36.9$ [dB]

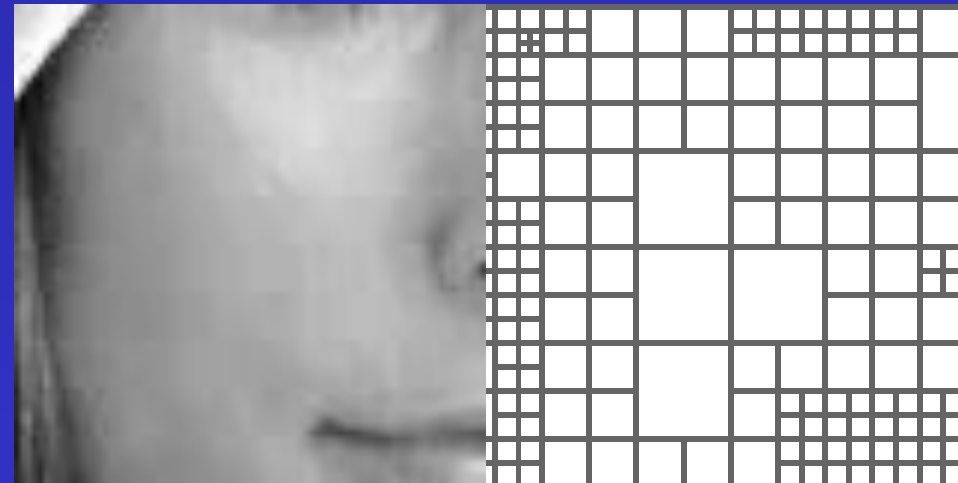
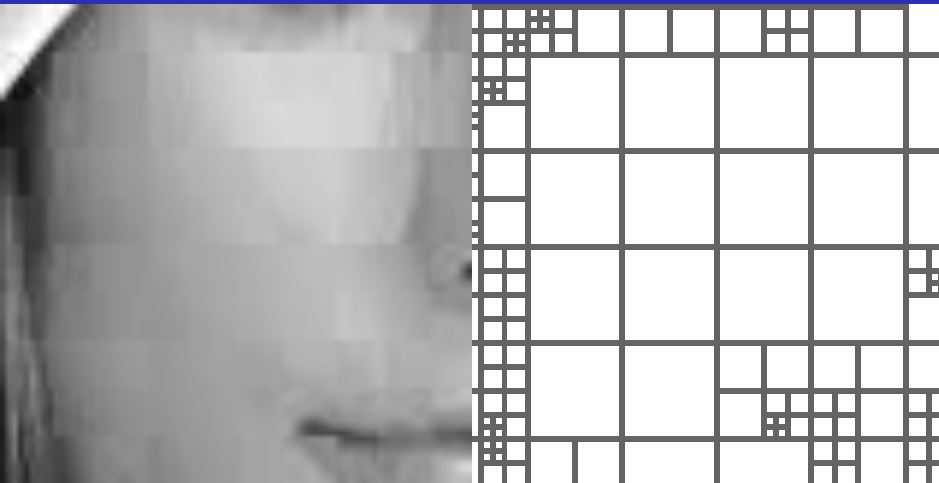
Range block sizes = 16x16, 8x8, 4x4, 2x2

Comparison of Splitting Criteria (cont'd)

compression ratio $\cong 1:8$

Threshold- based criterion

Rate-Distortion - based criterion



PSNR $\cong 35.9$ [dB]

PSNR $\cong 36.9$ [dB]

Range block sizes = 16x16, 8x8, 4x4, 2x2

Quadtree Partitioning Without Search

Motivation: Reducing complexity by searching less blocks

Coding algorithm:

a. Determine a Quadtree structure without search.

Given the Quadtree structure:

b. Search for the the best transformations.

Uses a descending order Gain list where Gain is defined using only block variances

1. **Variance-Rate** splitting criterion $G_{VRi} = \frac{\text{Size} \cdot \text{Variance}}{\text{Rate}}$

2. **Δ Var-Rate** splitting criterion $G_{Vi} = \frac{\text{Size} \cdot \text{Variance Decrease}}{\text{Rate}}$

Results - Summary

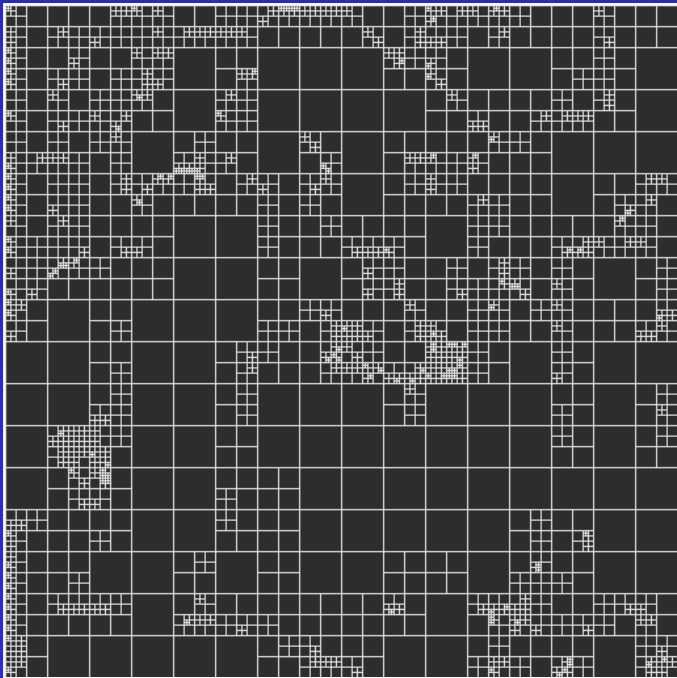


“Peppers” Image - 0.3bpp

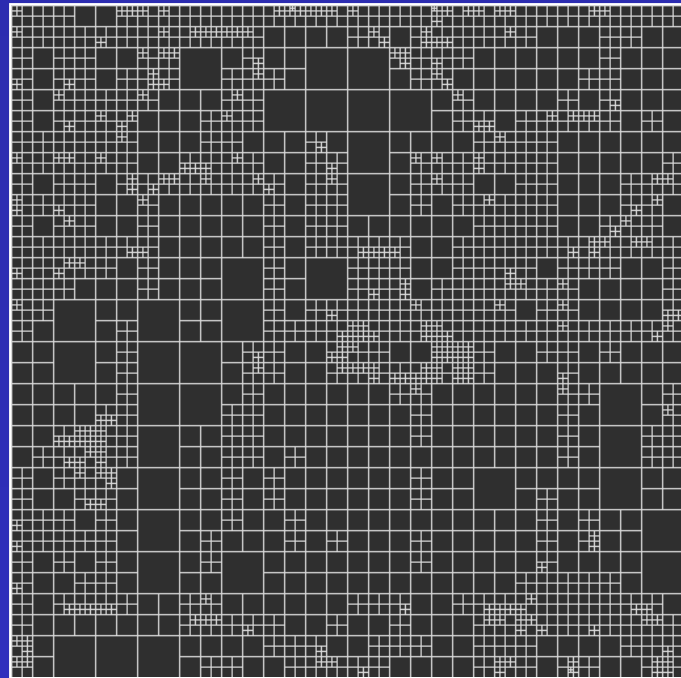
Range Blocks used 32,16,8,4 and 2

Split Criterion	Collage Error [dB]	Reconstruction Error [dB]	Complexity [%]
Rate-Distortion	30.74	30.25	100
Collage error-Computational complexity	30.62	30.15	25
Variance-Rate	29.73	29.21	18.8
Threshold	29.14	28.02	24
Rate-Distortion & Segmentation	28.48	27.81	5
Δ Var-Rate	28.31	26.83	19

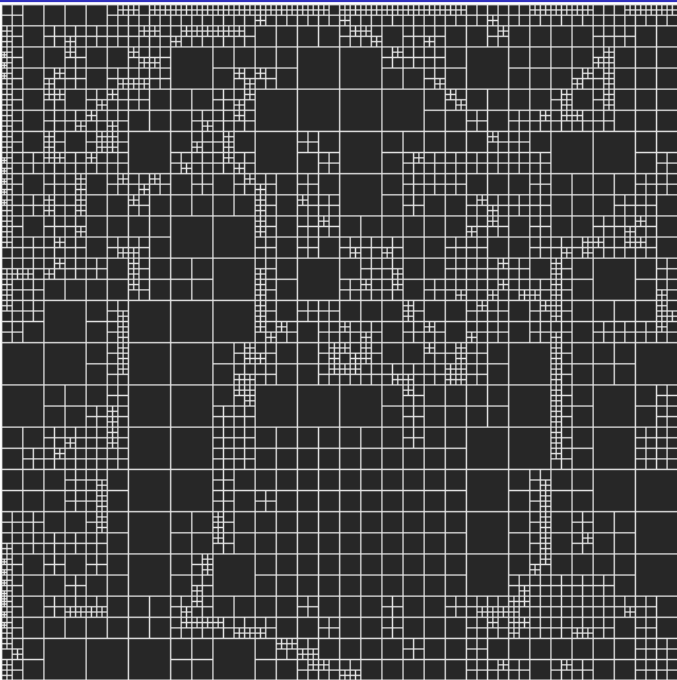
Threshold



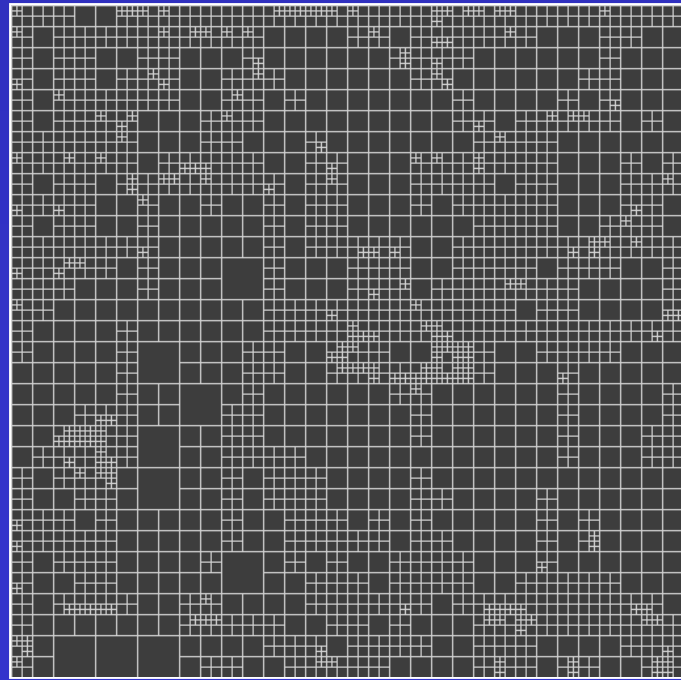
Rate-
Distortion



Variance-
Rate



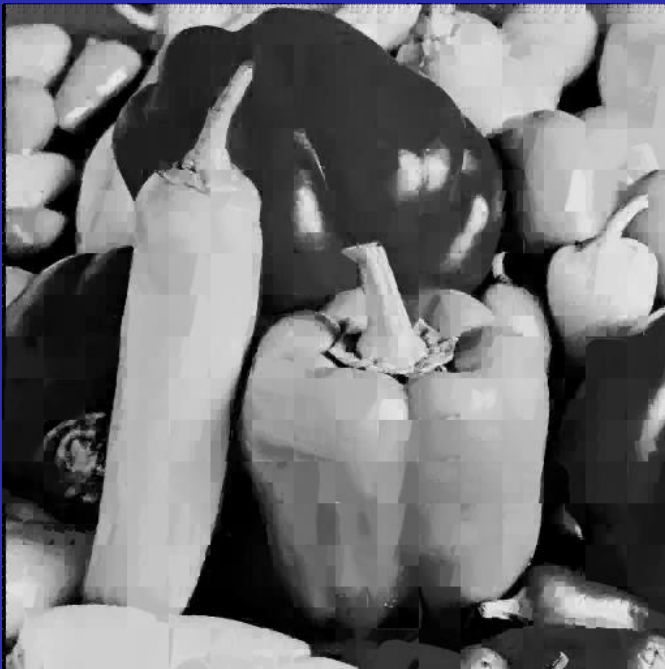
Collage
error-
Complexity



Threshold

28.02dB

0.3bpp



Rate-
Distortion

30.25dB

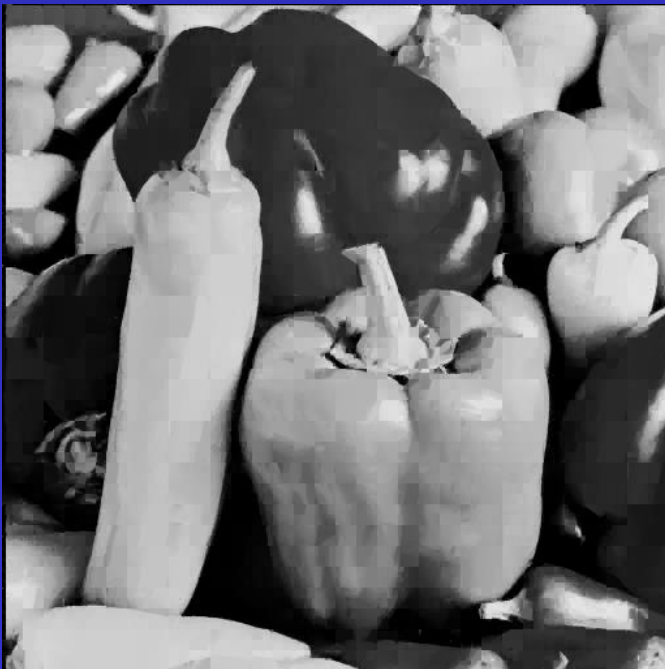
0.3bpp



Variance-
Rate

29.21dB

0.3bpp



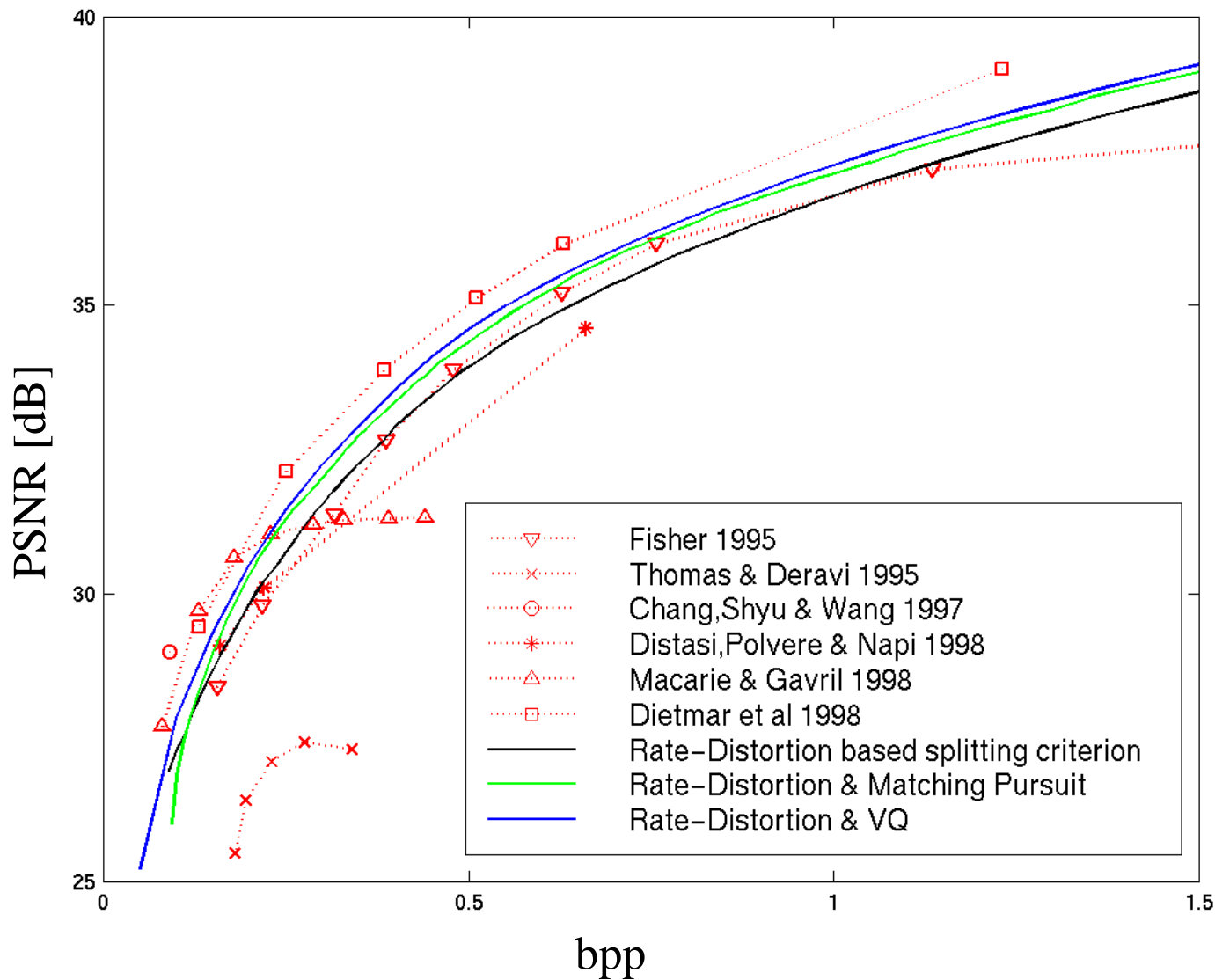
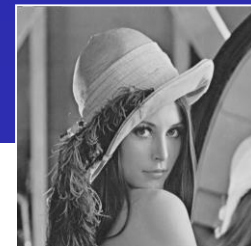
Collage
error-
Complexity

30.15dB

0.3bpp



Comparison to related works



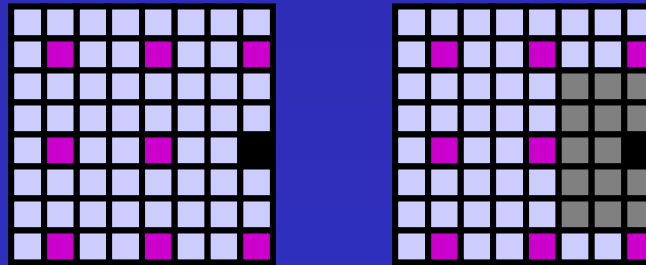
“Fast Search”

Range Blocks \approx # Domain Blocks \approx N

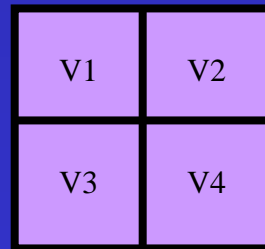
“Full Search” - check all domain blocks for each range block.

“Full Search” is of complexity $O(N^2)$

Decimated search

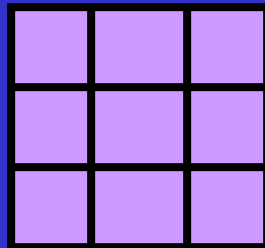


Classification according to variance order



$$\begin{aligned} V_1 &\geq V_2 \geq V_3 \geq V_4 \\ &\vdots \qquad \qquad \qquad \vdots \\ V_4 &\geq V_3 \geq V_2 \geq V_1 \end{aligned}$$

Classification according to zero crossing



H1
H2



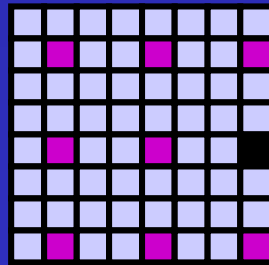
Typical vector

V1 V2

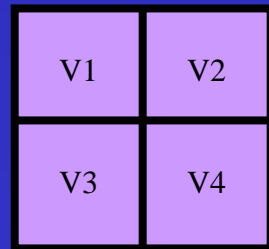
“Fast Search” (cont’d)

“Lena” Image - 0.3bpp Range blocks 16,8 & 4

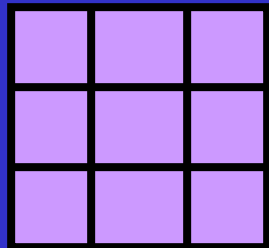
Decimated search



Classification according to variance order



Classification according to zero crossing



V1 V2

PSNR Reduction	Complexity “effort” [%]
-1.66 dB	4.6 %
-1 dB	4.5 %
-0.94 dB	16 %

Range Block Matching with Multi Domain Blocks

Problem definition: Find the Kth order linear combination of domain blocks that minimize the MSE

$$\arg_{\vec{s}, DOMAINS} \min \left\| \tilde{R} - s_1 \tilde{D}_1 - s_2 \tilde{D}_2 - \dots - s_K \tilde{D}_K \right\|_2^2$$

where: $\vec{s} = (s_1 \ s_2 \ s_3 \ \dots \ s_K)^t \quad s_j \in \mathfrak{R}$

Solution: Given a set of K domain blocks, calculate :

$$\begin{pmatrix} s_1 \\ s_2 \\ \vdots \\ s_K \end{pmatrix} = \begin{pmatrix} \|\tilde{D}_1\|^2 & \langle \tilde{D}_1, \tilde{D}_2 \rangle & \dots & \langle \tilde{D}_1, \tilde{D}_K \rangle \\ \langle \tilde{D}_1, \tilde{D}_2 \rangle & \|\tilde{D}_2\|^2 & & \vdots \\ & & \ddots & \\ \vdots & & & \|\tilde{D}_{K-1}\|^2 & \langle \tilde{D}_{K-1}, \tilde{D}_K \rangle \\ \langle \tilde{D}_1, \tilde{D}_K \rangle & \dots & \langle \tilde{D}_{K-1}, \tilde{D}_K \rangle & \|\tilde{D}_K\|^2 \end{pmatrix}^{-1} \begin{pmatrix} \langle \tilde{R}, \tilde{D}_1 \rangle \\ \langle \tilde{R}, \tilde{D}_2 \rangle \\ \vdots \\ \langle \tilde{R}, \tilde{D}_K \rangle \end{pmatrix}$$

For a small K, solution is of $O(N^3)$ Complexity or $O(N^2)$ if using $O(N^2)$ memory units (not practical).

Sub-Space Orthogonalization [Øien 1991]

Define $K-1$ order orthonormal basis : $\{b_1, b_2, \dots, b_{K-1}\}$

Find : $\arg \min_{\vec{s}, j} \left\| R - s_1 b_1 - s_2 b_2 - \dots - s_{K-1} b_{K-1} - s_K D_j \right\|_2^2$

Range and Domain blocks can be orthogonalized, in advance, to the space spanned by $\{b_1, b_2, \dots, b_{K-1}\}$.

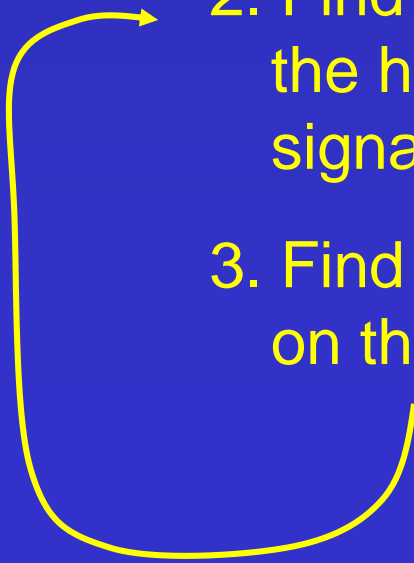
Solution:

$$\begin{pmatrix} 1 & 0 & \dots & 0 \\ 0 & 1 & 0 & \vdots \\ & 0 & \ddots & \ddots \\ \vdots & & \ddots & 1 & 0 \\ 0 & \dots & 0 & \|\tilde{D}_j\|^2 & 0 \end{pmatrix} \begin{pmatrix} s_1 \\ s_2 \\ \vdots \\ s_{K-1} \\ s_K \end{pmatrix} = \begin{pmatrix} \langle \tilde{R}, b_1 \rangle \\ \langle \tilde{R}, b_2 \rangle \\ \vdots \\ \langle \tilde{R}, b_{K-1} \rangle \\ \langle \tilde{R}, \tilde{D}_j \rangle \end{pmatrix}$$

$$s_K = \frac{\langle \tilde{R}, \tilde{D}_j \rangle}{\|\tilde{D}_j\|^2}, \quad s_m = \langle \tilde{R}, b_m \rangle, \quad 1 \leq m \leq K-1$$

Matching Pursuit [Mallat 1993]

Decomposing a signal into linear expansion using waveforms selected from a redundant dictionary of functions.

1. Denote the source signal as a residual signal.
 2. Find in a dictionary (of size M) the function with the highest correlation factor with the residual signal.
 3. Find the new residual signal using projection on the selected function.
- 

Rate-Distortion - based Splitting Criterion Combined with Matching Pursuit

Problem definition: Find best 2nd order linear combination of domain blocks to minimize collage error.

Optimal solution: Given a pair of domain blocks, calculate :

$$\begin{pmatrix} s_1 \\ s_2 \end{pmatrix} = \frac{\begin{pmatrix} \|\tilde{D}_2\|_2^2 & -\langle \tilde{D}_1, \tilde{D}_2 \rangle \\ -\langle \tilde{D}_1, \tilde{D}_2 \rangle & \|\tilde{D}_1\|_2^2 \end{pmatrix} \begin{pmatrix} \langle \tilde{R}, \tilde{D}_1 \rangle \\ \langle \tilde{R}, \tilde{D}_2 \rangle \end{pmatrix}}{\|\tilde{D}_1\|_2^2 \|\tilde{D}_2\|_2^2 - \langle \tilde{D}_1, \tilde{D}_2 \rangle^2}$$

Optimal solution is of $O(N^3)$ Complexity
or $O(N^2)$ if using $O(N^2)$ memory units (not
practical).

Rate-Distortion - based Splitting Criterion Combined with Matching Pursuit (cont'd)

For each range block,

find S_1 and D_1 that
minimize $\| \tilde{R} - S_1 \tilde{D}_1 \|_2^2$



find S_2 and D_2 that
minimize

MP1

$$\| \tilde{R} - S_1 \tilde{D}_1 - S_2 \tilde{D}_2 \|_2^2$$

Given S_1 and D_1

MP3

$$\| \tilde{R} - S_1 \tilde{D}_1 - S_2 \tilde{D}_2 \|_2^2$$

Given D_1

MP2

$$\| \tilde{R} - S_1 \tilde{D}_1 - S_2 (\tilde{D}_2 - S_1 \tilde{D}_1) \|_2^2$$

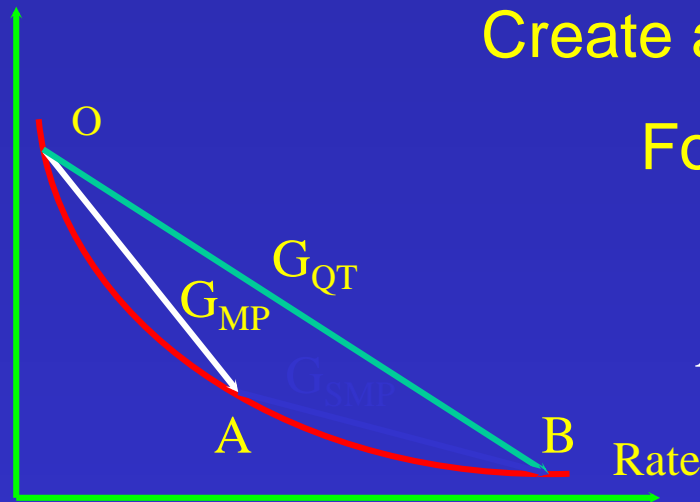
Given S_1 and D_1

Complexity is two times "Full Search" - $O(N^2)$

Only $O(N)$ memory units are required.

Rate-Distortion - based Splitting Criterion Combined with Matching Pursuit (cont'd)

Distortion

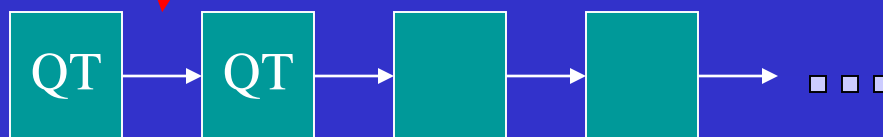


Create a descending order Gain list.

For each range block use:

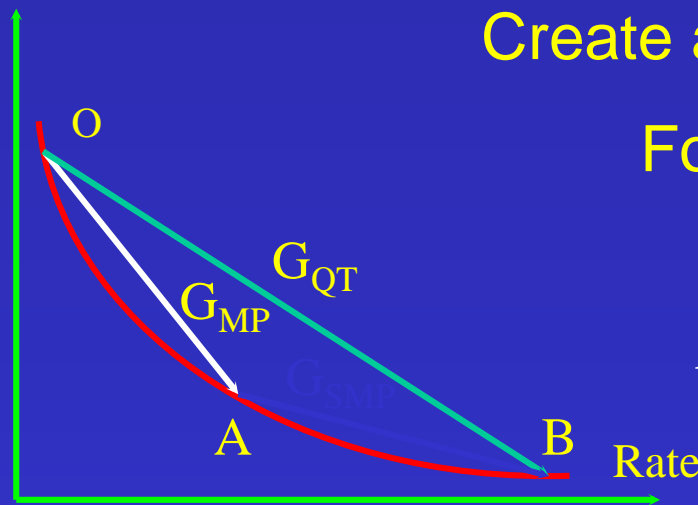
$$\text{MAX} \left(G_{QT}, G_{MP} \right)$$

Top of list



Rate-Distortion - based Splitting Criterion Combined with Matching Pursuit (cont'd)

Distortion

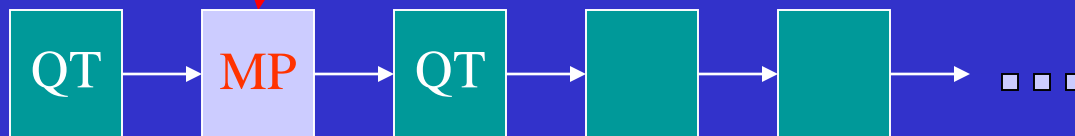


Create a descending order Gain list.

For each range block use:

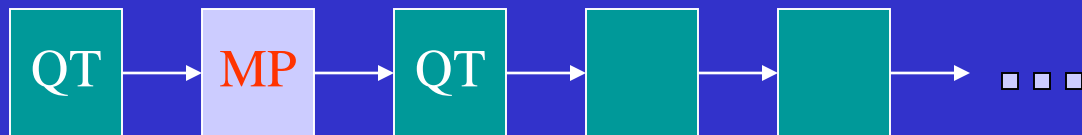
$$\text{MAX} \left(G_{QT}, G_{MP} \right)$$

Top of list



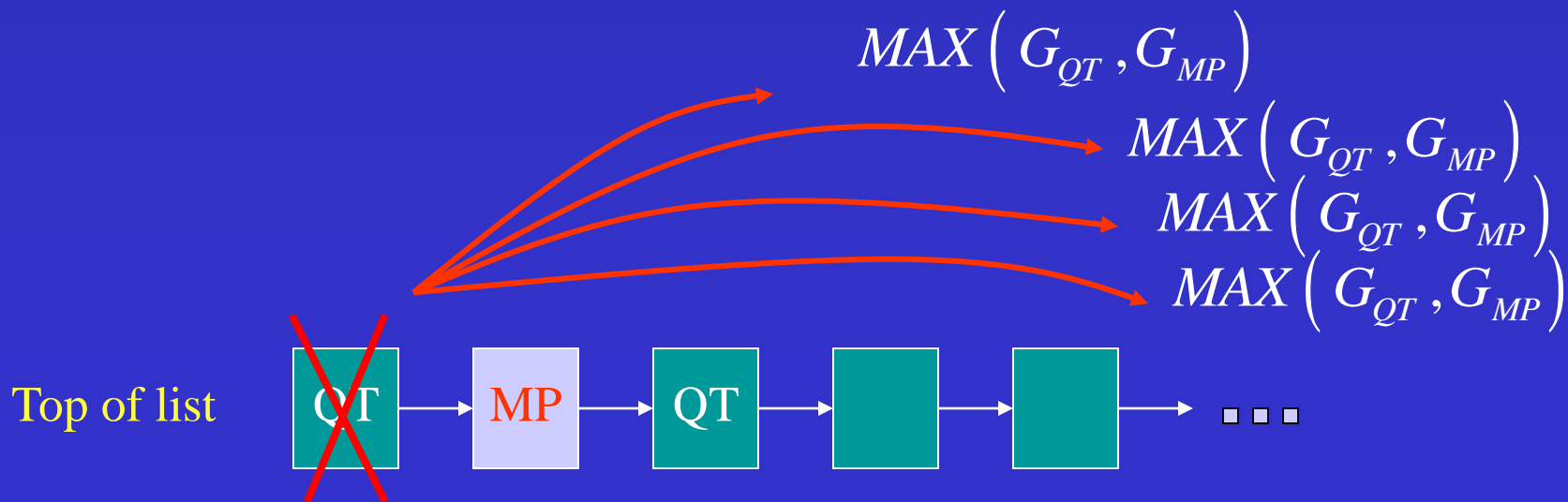
Rate-Distortion - based Splitting Criterion Combined with Matching Pursuit (cont'd)

Top of list

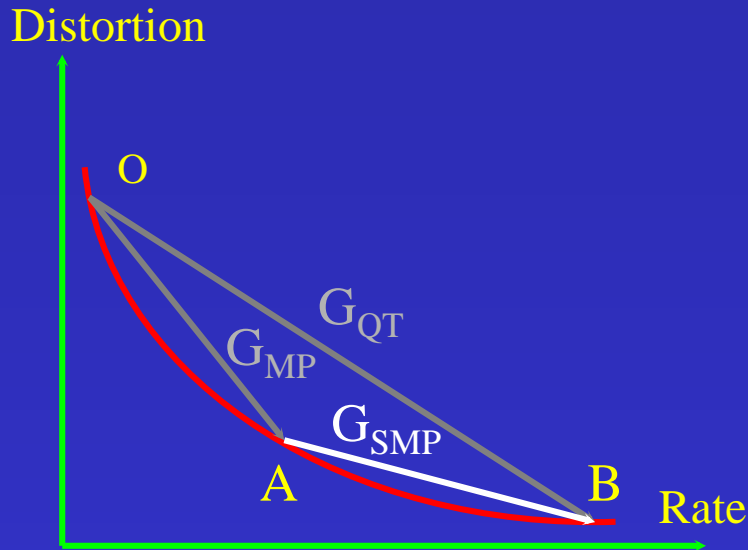


Rate-Distortion - based Splitting Criterion Combined with Matching Pursuit (cont'd)

Start splitting according to the
ordered Gain list

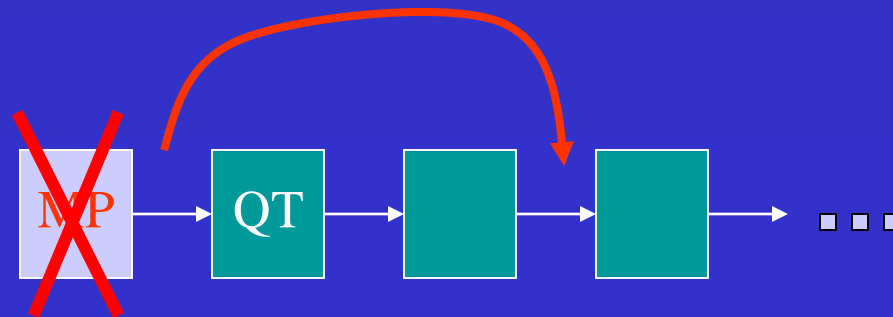


Rate-Distortion - based Splitting Criterion Combined with Matching Pursuit (cont'd)

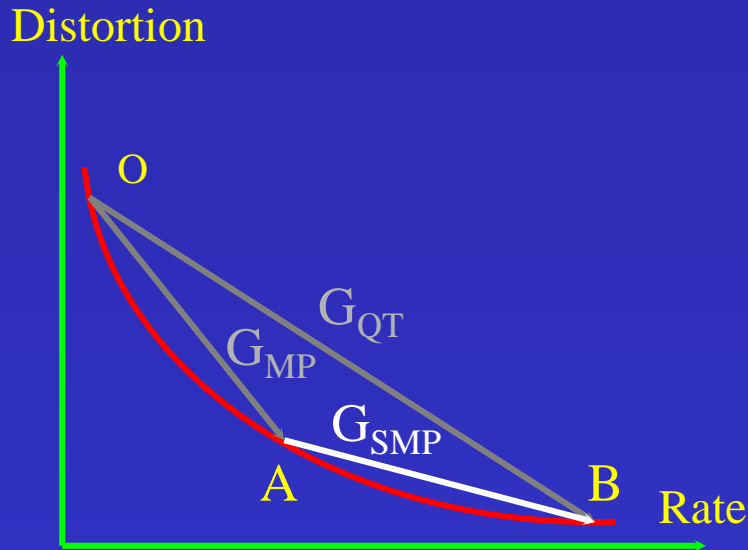


If a matching pursuit labeled (MP) block is at the top of the list place G_{SMP} in the proper location

Top of list



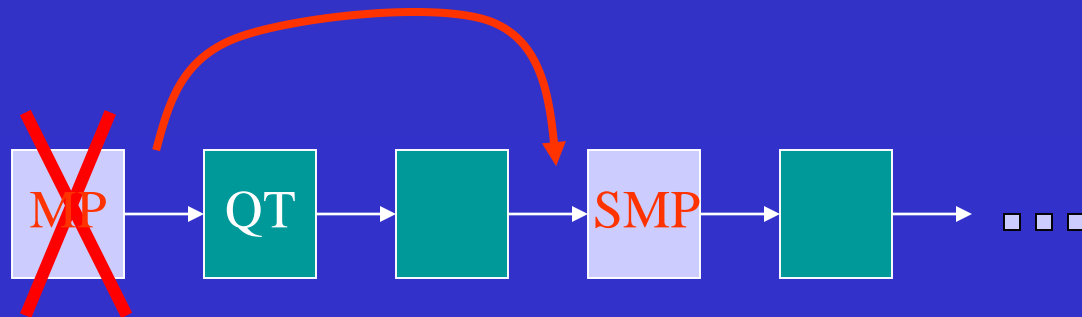
Rate-Distortion - based Splitting Criterion Combined with Matching Pursuit (cont'd)



If a matching pursuit labeled (MP) block is at the top of the list place G_{SMP} in the proper location

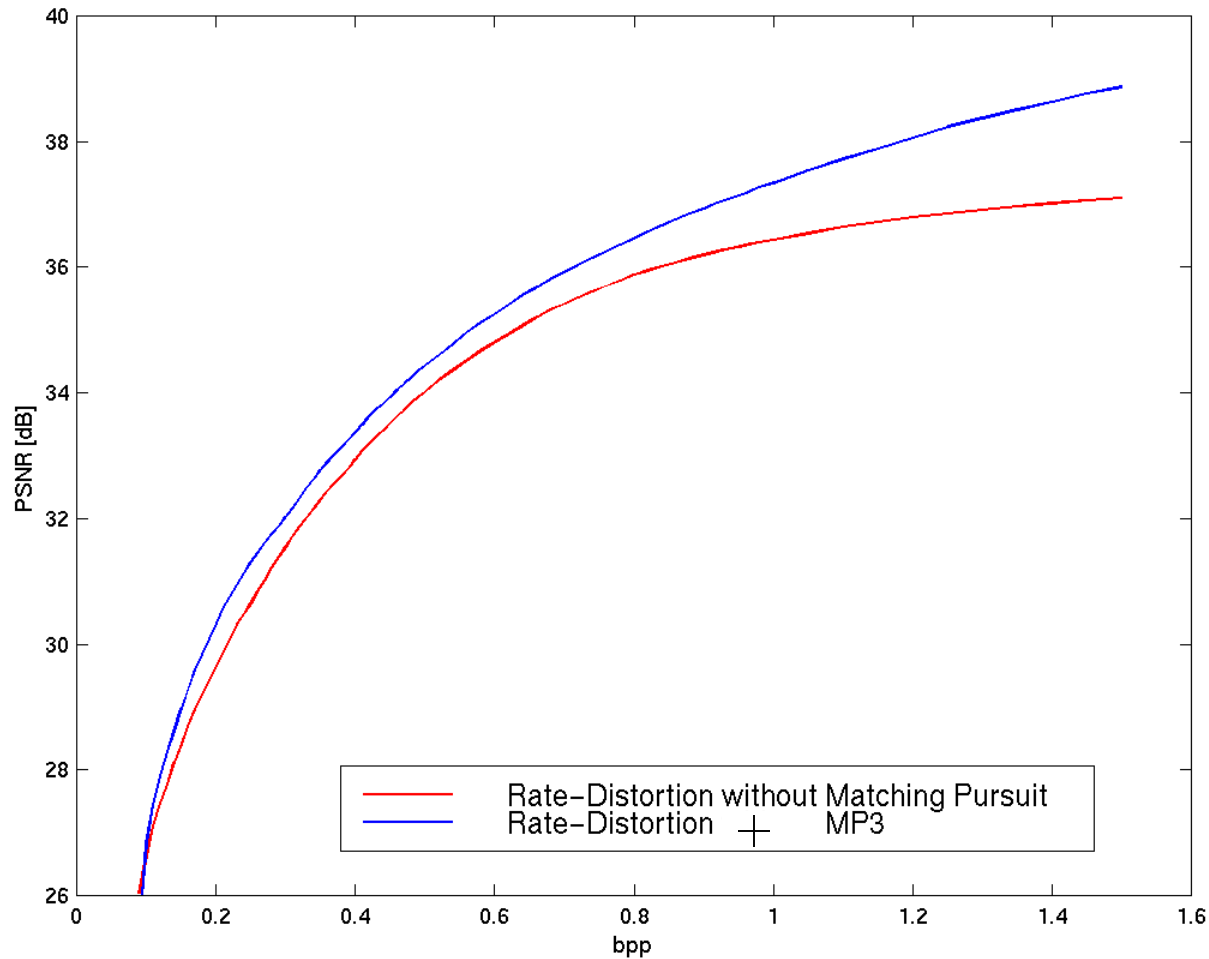
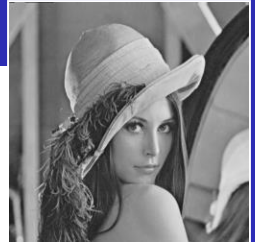
A labeled MP block is split only if G_{SMP} reaches the top of the list !

Top of list



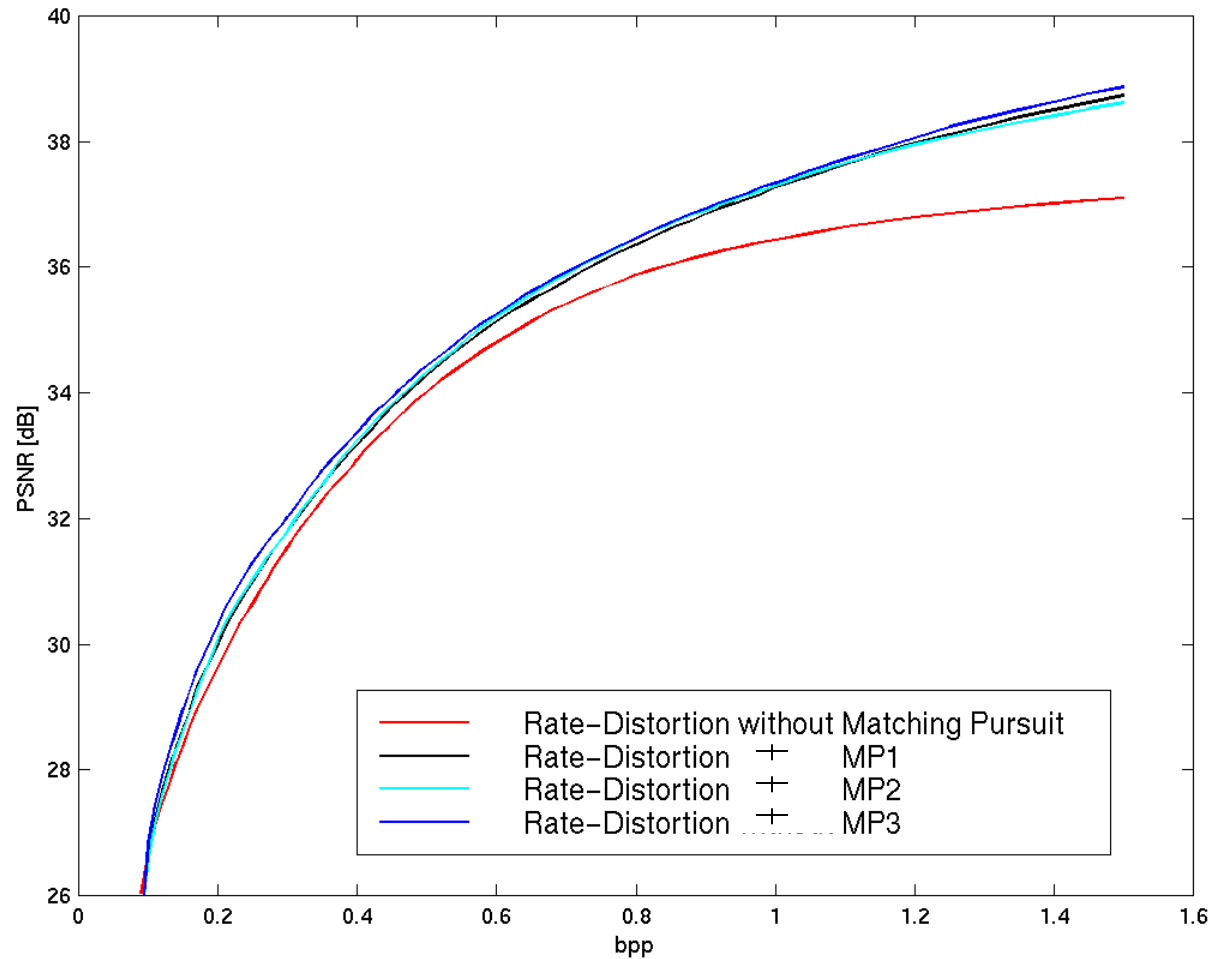
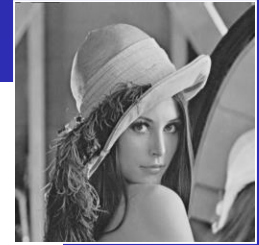
Rate-Distortion - based Splitting Criterion Combined with Matching Pursuit (cont'd)

“Lena” Image , Size of range blocks : 16,8 & 4

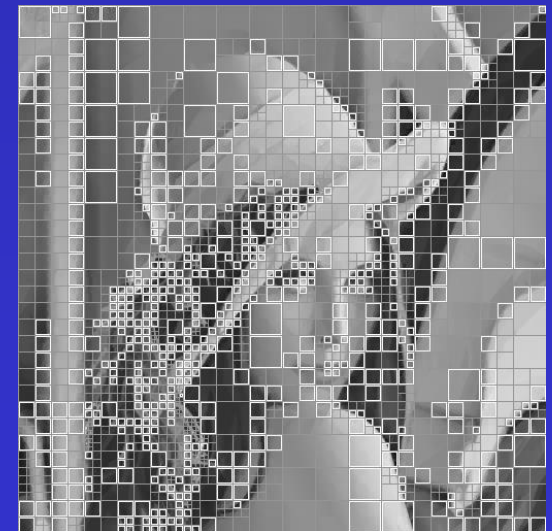
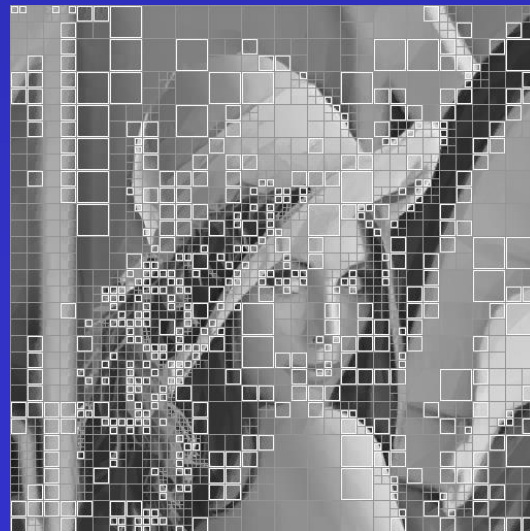
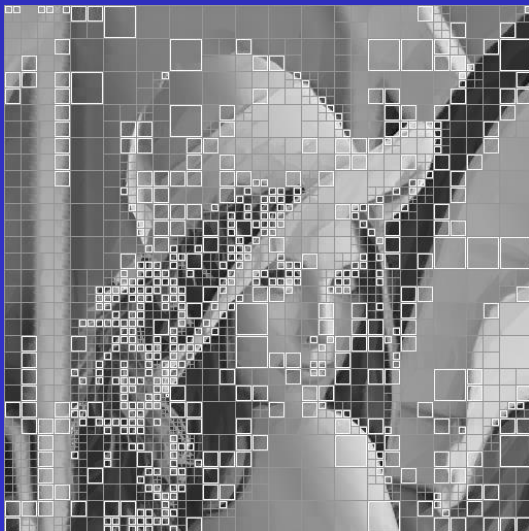


Rate-Distortion - based Splitting Criterion Combined with Matching Pursuit (cont'd)

“Lena” Image , Size of range blocks : 16,8 & 4



Comparison of Results for Matching Pursuit



0.3 bpp PSNR=31.67dB

MP1

0.3 bpp PSNR=31.76dB

MP2

0.3 bpp PSNR=31.48dB

MP3

Hybrid Fractal - VQ Coding

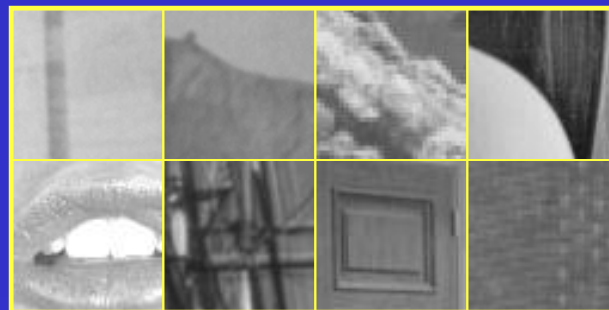
Use external code-book to enlarge the domain pool

- “Self” Code-Book
- Signal Dependent



Domain
Pool

- Defined Code-Book
- Signal independent



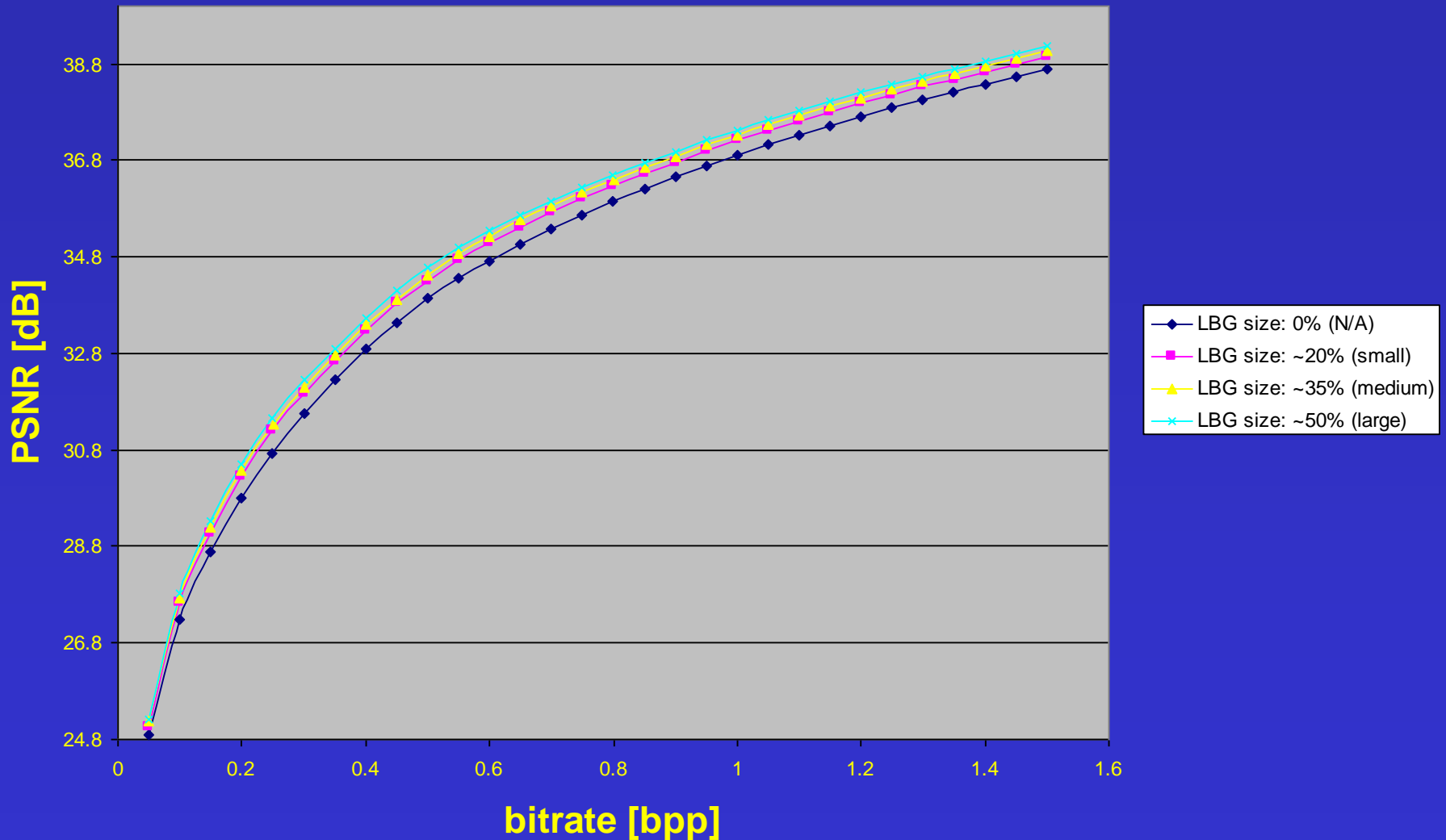
VQ

Hybrid Fractal - VQ Coding (cont'd)



Examined with the help of:

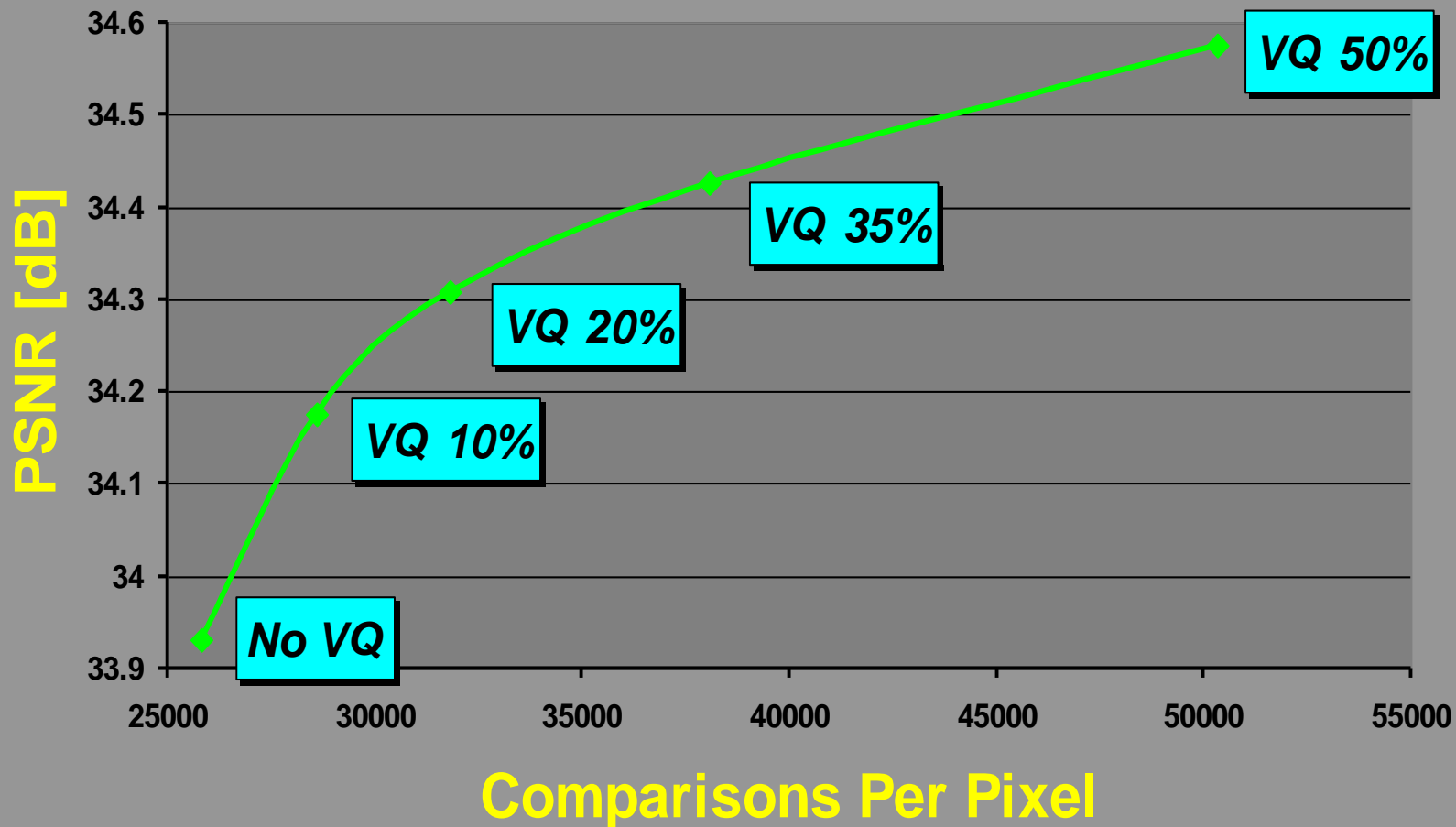
Yaniv Gur & Alex Trigov



Hybrid Fractal - VQ Coding (cont'd)



compression ratio = 1:16



Entropy Coding

Entropy coding of “Fractal code” : $W = \cup \{ \bar{R}_i, s_i, j_i \}$

Typical Bit allocation: Range mean - 7 bits
 Scale factor - 5 bits
 Domain index \approx 12 bits

Entropy coding of scale and index values achieves only 5% reduction in the number of bits used (≈ 0.85 bit).

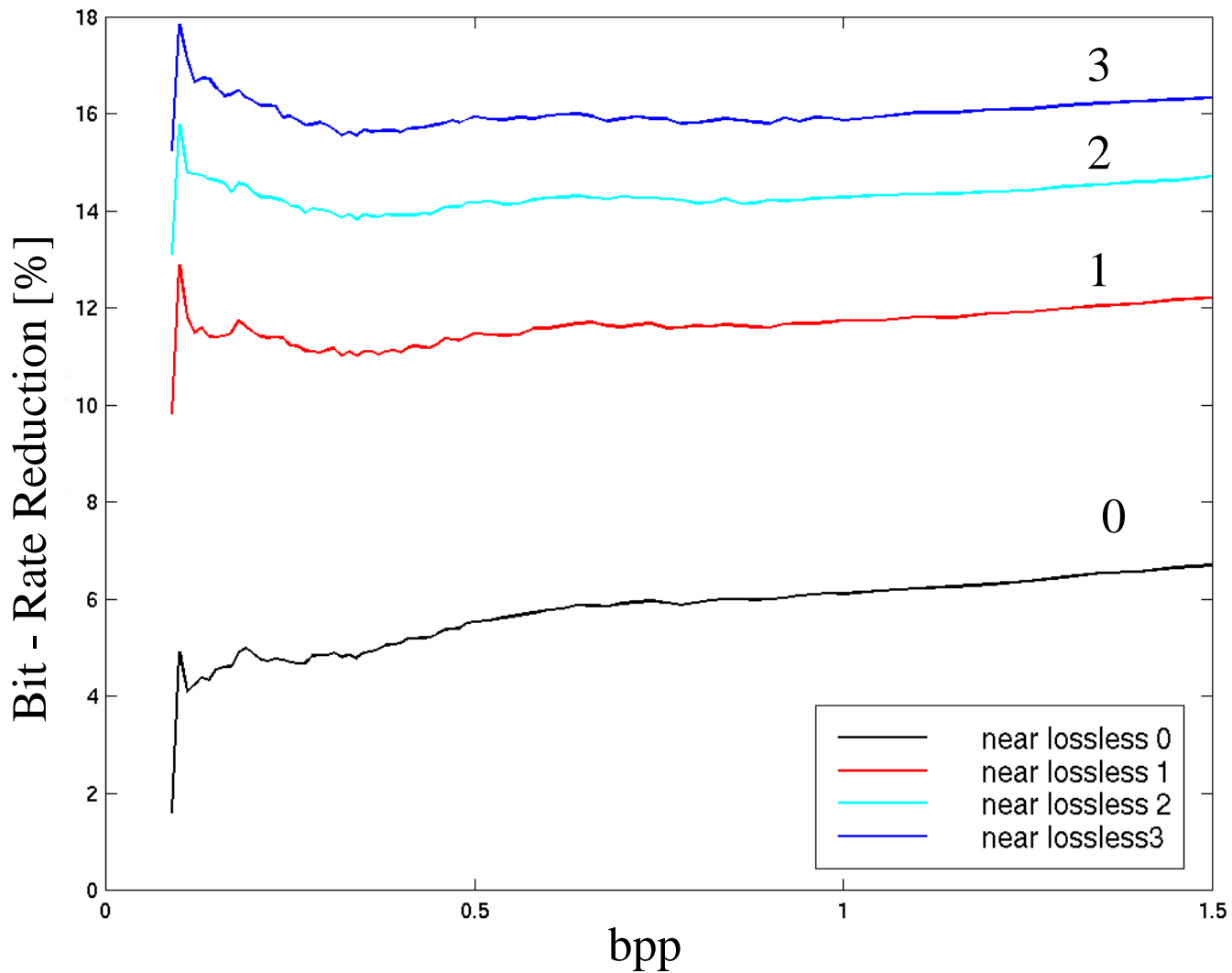
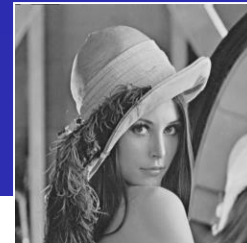
The mean values of neighboring range blocks are highly correlated (3 bits reduction is achievable using “LOCO”)

“LOCO” - encoder diagram



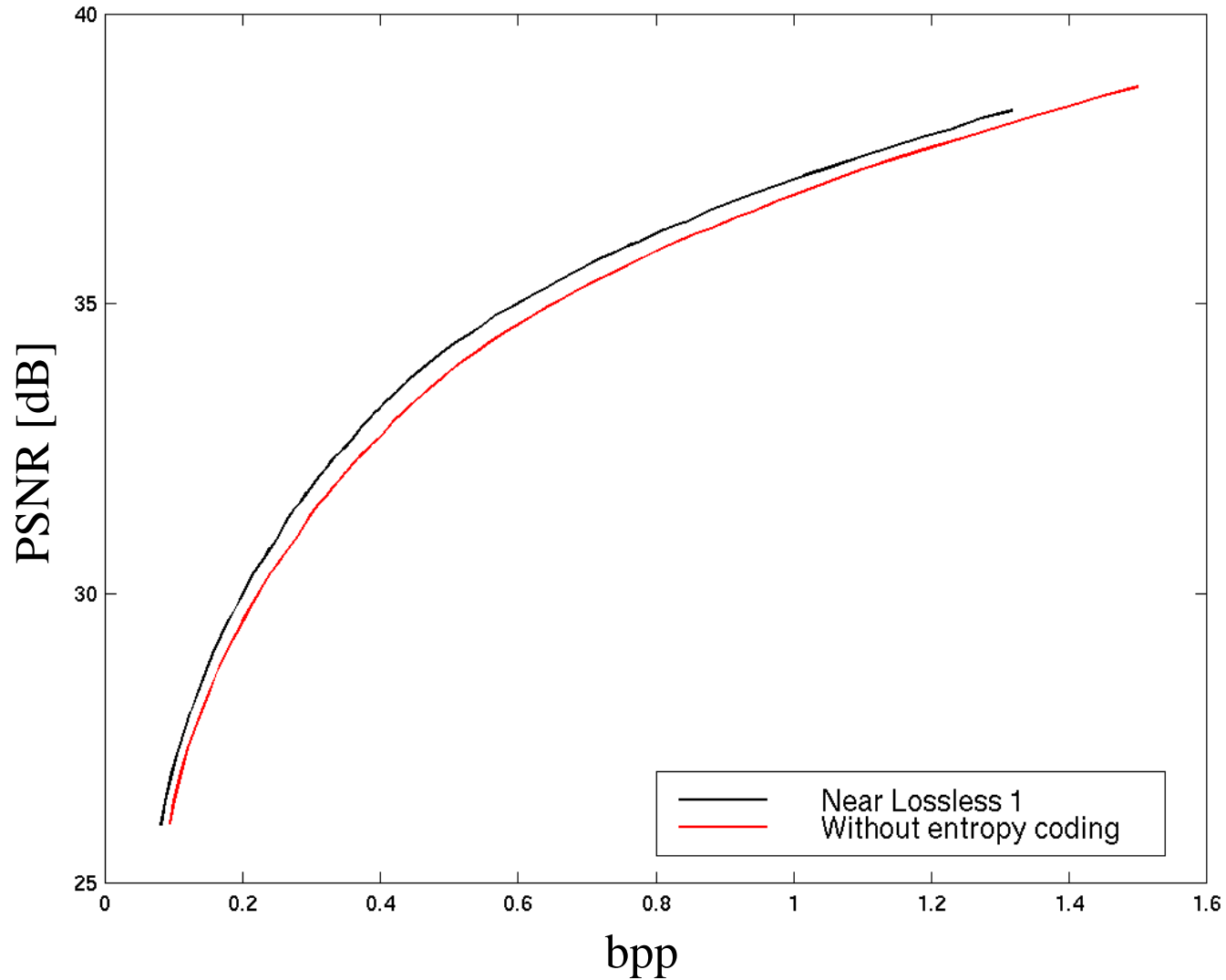
Entropy Coding - Results

Size of range blocks : 16,8,4 & 2



Entropy Coding - Results (cont'd)

Size of range blocks : 16,8,4 & 2



Summary and Conclusions

- Rate-Distortion - reduction of reconstruction error
- Collage error-Computational complexity - reduction of computational complexity
- Adaptive coding combining Rate-Distortion and Collage-Complexity - enables direct control of rate and complexity
- Splitting without search
- “Fast search” methods

Summary and Conclusions (cont'd)

- Matching pursuit combined with Rate-Distortion splitting criterion - reduction of reconstruction error and complexity
- VQ combined with Rate-Distortion splitting criterion - Better results than matching pursuit at the same bit rate and complexity.
- Entropy coding - =12% bit rate reduction

THE END